

# The gravity track of Higgs inflation

(work with Vera-Maria Enckell, Kari Enqvist,  
Eemeli Tomberg and Lumi-Pyry Wahlman)

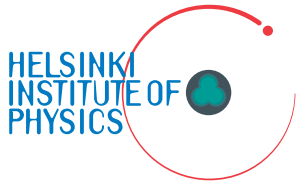
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# Using what you have



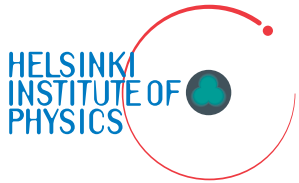
$$S = \int d^4x \sqrt{-g} \left[ \frac{M_{\text{Pl}}^2 + \xi h^2}{2} R - \frac{1}{2} g^{\alpha\beta} \partial_\alpha h \partial_\beta h - V(h) \right]$$

$$V(h) = \frac{\lambda}{4} (h^2 - v^2)^2 \simeq \frac{\lambda}{4} h^4$$

- Non-minimal coupling  $\xi h^2 R$  is the only new dimension 4 term for the combined Einstein-Hilbert + SM action.
- The coupling  $\xi$  is generated by renormalisation, even if it is classically zero.
- Non-minimal coupling enables Higgs inflation, which uses the only known scalar field that may be elementary.  
(Bezrukov and Shaposhnikov: 0710.3755)



# When the action is not enough



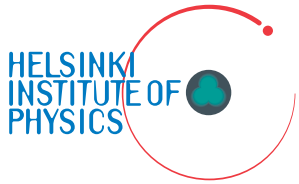
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- Complication: classical low-energy action is not enough to specify the theory.
- Two sources of ambiguity.
  - Quantum theory: how to calculate loop corrections?  
(c.f. Eemeli's talk on black holes as dark matter)
  - General relativity: what are the gravitational degrees of freedom?



# The many faces of Einstein gravity

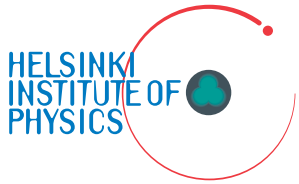


$$S = \int d^4x \sqrt{-g} \left[ \frac{1 + \xi h^2}{2} g^{\alpha\beta} R_{\alpha\beta}(g, \partial g, \partial^2 g) - \frac{1}{2} g^{\alpha\beta} \partial_\alpha h \partial_\beta h - V(h) \right]$$

- Usually the gravitational degrees of freedom are taken to be the metric and its derivatives.
- In the Palatini formulation, the metric and the connection are independent degrees of freedom.
- In the Einstein-Hilbert case, the metric and the Palatini formulation are equivalent.
  - No need to add the York-Gibbons-Hawking boundary term.
- With a non-minimally coupled scalar field, they are different physical theories. (Bauer and Demir: 0803.2664)



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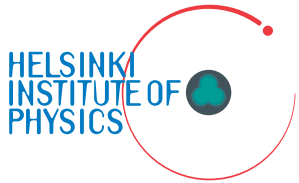


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# To the Einstein frame



$$S = \int d^4x \sqrt{-g} \left[ \frac{1 + \xi h^2}{2} g^{\alpha\beta} R_{\alpha\beta}(\Gamma, \partial\Gamma) - \frac{1}{2} g^{\alpha\beta} \partial_\alpha h \partial_\beta h - V(h) \right]$$

- The Einstein frame is reached with the conformal transformation  
 $g_{\alpha\beta} \rightarrow (1 + \xi h^2)^{-1} g_{\alpha\beta}$
- In the Palatini case, the conformal transformation does not affect the Ricci tensor.
- To recover canonical kinetic term, define new field  $\chi$ :

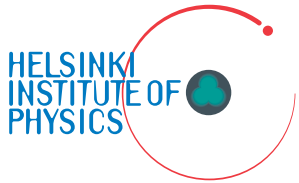
- metric:  $\frac{d\chi}{dh} = \sqrt{\frac{1 + \xi h^2 + 6\xi^2 h^2}{(1 + \xi h^2)^2}} \simeq \frac{\sqrt{6}}{h} \Rightarrow h \propto e^{\chi/\sqrt{6}}$

- Palatini:  $\frac{d\chi}{dh} = \sqrt{\frac{1 + \xi h^2}{(1 + \xi h^2)^2}} \simeq \frac{1}{\sqrt{\xi} h} \Rightarrow h \propto e^{\sqrt{\xi} \chi}$

- Polynomial potential is transformed into exponential potential.



# To the Einstein frame



$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2} g^{\alpha\beta} R_{\alpha\beta}(\Gamma, \partial\Gamma) - \frac{1}{2} g^{\alpha\beta} \frac{\partial_\alpha h \partial_\beta h}{1 + \xi h^2} - \frac{V(h)}{(1 + \xi h^2)^2} \right]$$

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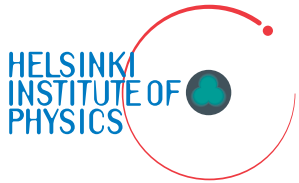
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# Higgs potential in Metric vs Palatini



$$S = \int d^4x \sqrt{-g} \left[ R - \frac{1}{2} g^{\alpha\beta} \partial_\alpha \chi \partial_\beta \chi - U(\chi) \right]$$

- We get a different Einstein frame potential depending on the gravitational degrees of freedom:

- metric:  $U(\chi) \equiv \frac{V[h(\chi)]}{[1 + \xi h(\chi)^2]^2} \simeq \frac{\lambda}{4\xi^2} (1 - 2e^{-\frac{2}{\sqrt{6}}\chi})$

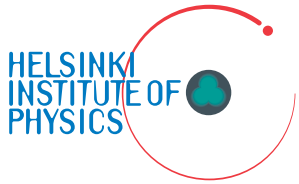
- Palatini:  $U(\chi) \simeq \frac{\lambda}{4\xi^2} (1 - 8e^{-2\sqrt{\xi}\chi})$

- The potential is exponentially flat.





# Predictions of Higgs inflation on the plateau



- On the exponentially flat plateau, we get:

- metric:  $n_s = 1 - \frac{2}{N}$ ,  $r = \frac{12}{N^2}$ ,  $\frac{U}{\epsilon} = \frac{\lambda}{3\xi^2} N^2$

$$n_s = 0.96, r = 5 \times 10^{-3}, \xi = 4 \times 10^4 \sqrt{\lambda}$$

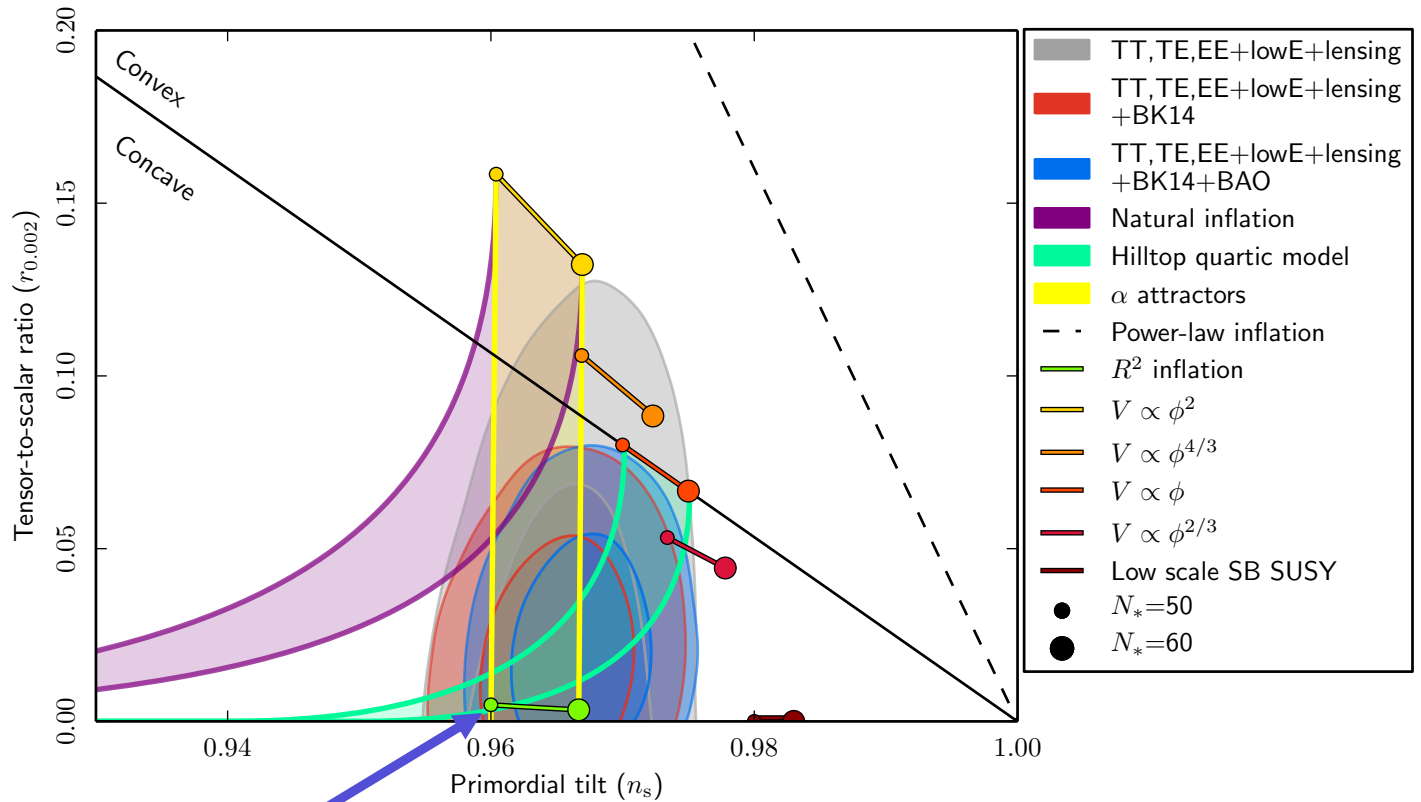
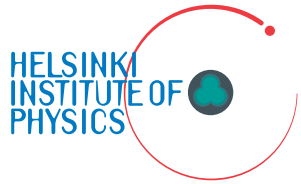
- Palatini:  $n_s = 1 - \frac{2}{N}$ ,  $r = \frac{2}{\xi N^2}$ ,  $\frac{U}{\epsilon} = \frac{2\lambda}{\xi} N^2$

$$n_s = 0.96, r = \frac{8 \times 10^{-4}}{\xi} = \frac{8 \times 10^{-14}}{\lambda}, \xi = 10^{10} \lambda$$

- Reheating is fixed:  $N \approx 50$ . (Garcia-Bellido, Figueroa and Rubio: 0812.4624, Rubio and Tomberg: 1902.10148)



# The data likes Higgs inflation





# Metric vs Palatini: $R^2$ term

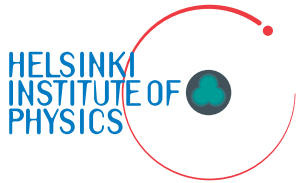


$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2} (1 + \xi h^2) g^{\alpha\beta} R_{\alpha\beta} + \alpha R^2 - \frac{1}{2} g^{\alpha\beta} \partial_\alpha h \partial_\beta h - V(h) \right]$$

- Loop corrections generate an  $R^2$  term in the action.
- Its effect is completely different in the metric and in the Palatini formulation.



# $R^2$ à la metric: two-field model



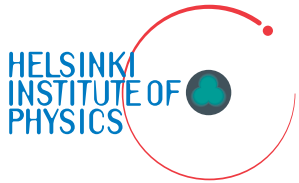
- In the metric case, the  $R^2$  term adds a scalar degree of freedom, so we get a two-field model. (Wang and Wang: 1701.06636, Ema: 1701.07665, Zhang, Huang and Sasaki: 1712.09896, He, Starobinsky and Yokoyama: 1804.00409, Gundhi and Steinwachs: 1810.10546, Enckell, Enqvist, SR, Wahlman: 1812.08754)
- The action becomes

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2} R - \frac{\varphi + \xi h^2 + 6\xi^2 h^2}{2(\varphi + \xi h^2)^2} g^{\alpha\beta} \partial_\alpha h \partial_\beta h - \frac{3\xi h}{(\varphi + \xi h^2)^2} g^{\alpha\beta} \partial_\alpha h \partial_\beta \varphi - \frac{3}{4(\varphi + \xi h^2)^2} g^{\alpha\beta} \partial_\alpha \varphi \partial_\beta \varphi - \hat{V}(h, \varphi) \right]$$

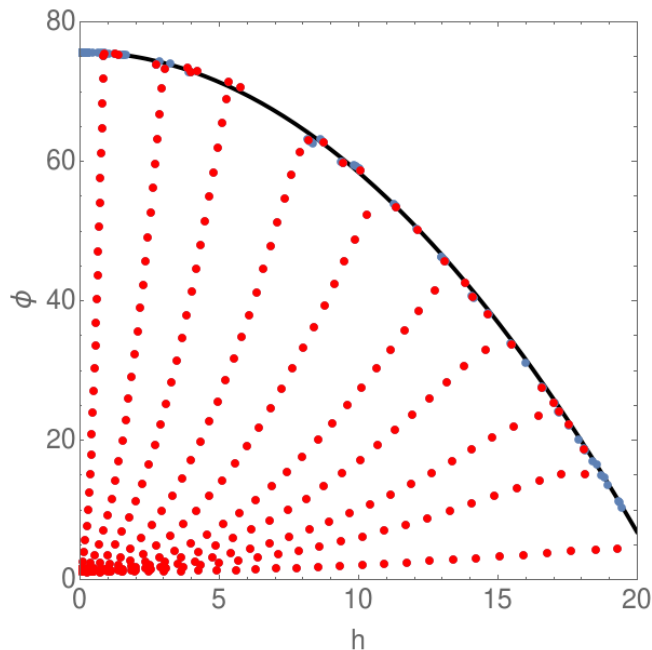
$$\hat{V}(h, \varphi) = \frac{\lambda}{4} \frac{(h^2 - v^2)^2}{(\varphi + \xi h^2)^2} + \frac{1}{8\alpha} \frac{(\varphi - 1)^2}{(\varphi + \xi h^2)^2}$$



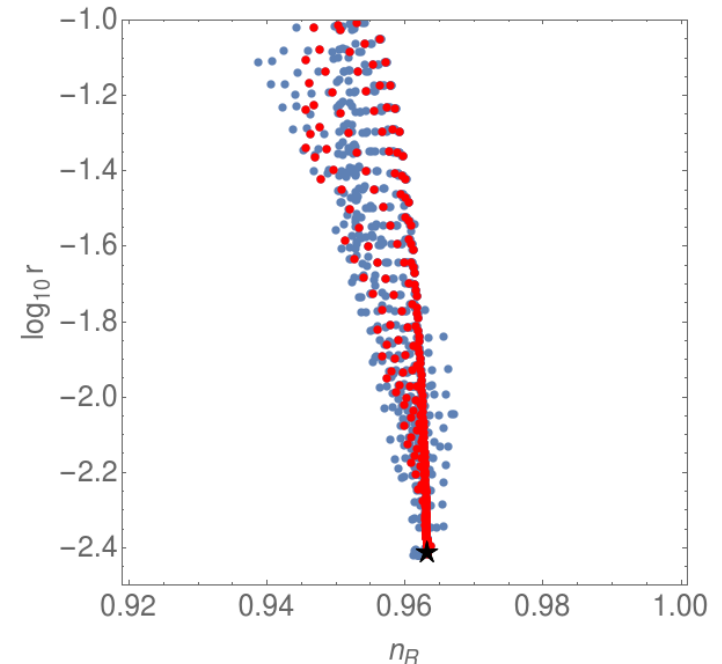
# Destabilising Higgs inflation



- The  $R^2$  term destabilises Higgs inflation. (Enckell, Enqvist, SR, Wahlman: 1812.08754)



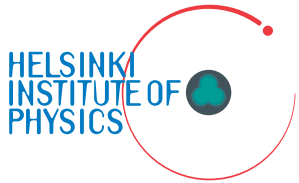
Inflation in field space.



Results for observables.



# $R^2$ à la Palatini: saving your favourite model



$$U(\chi) = \frac{V[h(\chi)]}{[1 + \xi h(\chi)^2]^2}$$

- In the Palatini case, the new scalar field does not get a kinetic term and can be integrated out. (Enckell, Enqvist, SR, Wahlman: 1810.05536)

- The action reduces to

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2} R - \frac{1}{2} \partial^\alpha \chi \partial_\alpha \chi + \frac{\alpha}{2} (1 + 8\alpha U) (\partial^\alpha \chi \partial_\alpha \chi)^2 - \frac{U}{1 + 8\alpha U} \right].$$

- The only effect is to change the tensor power spectrum, giving  $r \rightarrow r/(1 + 8\alpha U)$ .
- This can be used to rescue any scalar field model where  $r$  was excluded by the data.



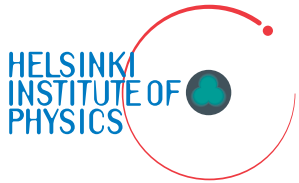
# Features unique to Palatini



- In the metric case, the metric and the Riemann tensor are the only geometrical tensors.
- In the Palatini case, we have two new geometrical tensors:
  - non-metricity  $Q_{\alpha\beta\gamma} \equiv \nabla_{\alpha} g_{\beta\gamma}$
  - torsion  $T^{\alpha}{}_{\beta\gamma} \equiv 2\Gamma^{\alpha}{}_{[\beta\gamma]}$



# Non-minimal gravity from non-minimal coupling



$$Q_{\alpha\beta\gamma} \equiv \nabla_{\alpha} g_{\beta\gamma}$$

$$T^{\alpha}{}_{\beta\gamma} \equiv 2\Gamma^{\alpha}{}_{[\beta\gamma]}$$

$$S = \int d^4x \sqrt{-g} \left[ \frac{F(h)}{2} g^{\alpha\beta} R_{\alpha\beta}(\Gamma, \partial\Gamma) - \frac{1}{2} g^{\alpha\beta} \partial_{\alpha} h \partial_{\beta} h - V(h) \right]$$

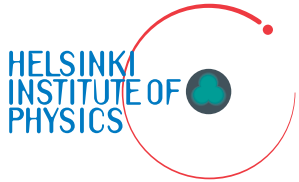
- The non-minimal coupling  $F(h)$  generates non-metricity and/or torsion. We get either

$$Q_{\alpha\beta\gamma} = -g_{\beta\gamma} \partial_{\alpha} \ln F, \text{ or}$$

$$T_{\alpha\beta\gamma} = g_{\alpha[\beta} \partial_{\gamma]} \ln F$$

- In the Einstein frame, the non-minimal connection is mapped onto the Higgs kinetic term and/or potential.





# Kinetic terms for the metric

- With non-metricity and/or torsion we have many new scalars, with no correspondence in the metric case.
- We can simplify by demanding the new tensors only appear via the connection (i.e. the covariant derivative and the Riemann tensor).

- We then only have new kinetic terms, such as

$$g_{\alpha\beta} \nabla_{\gamma} g^{\gamma\alpha} \nabla_{\delta} g^{\delta\beta} \quad h \nabla_{\alpha} h \nabla_{\beta} g^{\beta\alpha}$$

- Mixing of Higgs and metric kinetic terms sources non-metricity and/or torsion (SR: 1811.09514).



# Kinetic terms for the metric



$$Q_{\alpha\beta\gamma} \equiv \nabla_{\alpha} g_{\beta\gamma}$$

$$Q_{\gamma} \equiv g^{\alpha\beta} Q_{\alpha\beta\gamma}$$

$$\hat{Q}_{\alpha} \equiv g^{\beta\gamma} Q_{\alpha\beta\gamma}$$

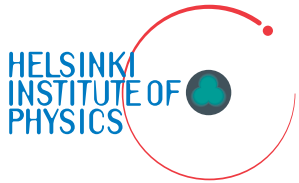
- Considering terms with only up to 2 derivatives (and demanding the equations of motion can be derived without adding boundary terms), the action is (SR: 1811.09514)

$$\begin{aligned}
 S &= \int d^4x \sqrt{-g} \left[ \frac{1}{2} F(h) g^{\alpha\beta} R_{\alpha\beta}(\Gamma, \partial\Gamma) - \frac{1}{2} g^{\alpha\beta} \nabla_{\alpha} h \nabla_{\beta} h - V(h) \right. \\
 &\quad + A_1(h) \nabla_{\alpha} h \nabla_{\beta} g^{\beta\alpha} + A_2(h) g^{\alpha\beta} g_{\gamma\delta} \nabla_{\alpha} h \nabla_{\beta} g^{\gamma\delta} + B_1(h) g^{\alpha\beta} g_{\gamma\delta} g_{\epsilon\eta} \nabla_{\alpha} g^{\gamma\epsilon} \nabla_{\beta} g^{\delta\eta} \\
 &\quad + B_2(h) g_{\gamma\delta} \nabla_{\alpha} g^{\beta\gamma} \nabla_{\beta} g^{\alpha\delta} + B_3(h) g_{\alpha\beta} \nabla_{\gamma} g^{\gamma\alpha} \nabla_{\delta} g^{\delta\beta} \\
 &\quad \left. + B_4(h) g^{\alpha\beta} g_{\gamma\delta} g_{\epsilon\eta} \nabla_{\alpha} g^{\gamma\delta} \nabla_{\beta} g^{\epsilon\eta} + B_5(h) g_{\gamma\delta} \nabla_{\alpha} g^{\alpha\beta} \nabla_{\beta} g^{\gamma\delta} \right] \\
 &= \int d^4x \sqrt{-g} \left[ \frac{1}{2} F(h) g^{\alpha\beta} R_{\alpha\beta}(\Gamma, \partial\Gamma) - \frac{1}{2} g^{\alpha\beta} \nabla_{\alpha} h \nabla_{\beta} h - V(h) \right. \\
 &\quad - A_1(h) \nabla_{\alpha} h \hat{Q}^{\alpha} - A_2(h) \nabla_{\alpha} h Q^{\alpha} + B_1(h) Q_{\gamma\alpha\beta} Q^{\gamma\alpha\beta} + B_2(h) Q_{\gamma\alpha\beta} Q^{\beta\gamma\alpha} \\
 &\quad \left. + B_3(h) \hat{Q}_{\alpha} \hat{Q}^{\alpha} + B_4(h) Q_{\alpha} Q^{\alpha} + B_5(h) Q_{\alpha} \hat{Q}^{\alpha} \right] .
 \end{aligned}$$

- Non-minimal coupling and kinetic mixing source non-metricity and/or torsion.



# Coordinates in field space



$$U(\chi) = \frac{V[h(\chi)]}{[1 + \xi h(\chi)^2]^2}$$

- The action can be simplified by choosing suitable coordinates in field space.

$$h \rightarrow \chi(h)$$

$$g_{\alpha\beta} \rightarrow \Omega(h)^{-1} g_{\alpha\beta}$$

$$\Gamma_{\alpha\beta}^{\gamma} \rightarrow \Gamma_{\alpha\beta}^{\gamma} + \Sigma_{\alpha\beta}^{\gamma} = \Gamma_{\alpha\beta}^{\gamma} + g^{\gamma\delta} [\Sigma_1(h) g_{\alpha\beta} \partial_{\delta} h + 2\Sigma_2(h) g_{\delta(\alpha} \partial_{\beta)} h + 2\Sigma_3(h) g_{\delta[\alpha} \partial_{\beta]} h]$$

- All effects of the non-minimal connection are mapped onto the potential:

$$S = \int d^4x \sqrt{-g} \left[ R - \frac{1}{2} g^{\alpha\beta} \partial_{\alpha} \chi \partial_{\beta} \chi - U(\chi) \right] .$$

- The field transformation is  $\frac{d\chi}{dh} = \pm \sqrt{K(h)}$ , where  $K(h)$  is a complicated function.



# The Higgs case



$$U(\chi) = \frac{V[h(\chi)]}{[1 + \xi h(\chi)^2]^2}$$

- Consider the Higgs case with only dimension 4 operators.

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2} (f + \xi h^2) g^{\alpha\beta} R_{\alpha\beta}(\Gamma, \partial\Gamma) - g^{\alpha\beta} \partial_\alpha h \partial_\beta h - \frac{\lambda}{4} h^4 \right. \\ \left. - a_1 h \partial_\alpha h \hat{Q}^\alpha - a_2 h \partial_\alpha h Q^\alpha + (b_{10} + b_{11} h^2) Q_{\gamma\alpha\beta} Q^{\gamma\alpha\beta} + (b_{20} + b_{21} h^2) Q_{\gamma\alpha\beta} Q^{\beta\gamma\alpha} \right. \\ \left. + (b_{30} + b_{31} h^2) \hat{Q}_\alpha \hat{Q}^\alpha + (b_{40} + b_{41} h^2) Q_\alpha Q^\alpha + (b_{50} + b_{51} h^2) Q_\alpha \hat{Q}^\alpha \right]$$

$$Q_{\alpha\beta\gamma} \equiv \nabla_\alpha g_{\beta\gamma}$$

$$Q_\gamma \equiv g^{\alpha\beta} Q_{\alpha\beta\gamma}$$

$$\hat{Q}_\alpha \equiv g^{\beta\gamma} Q_{\alpha\beta\gamma}$$

- Here  $f$ ,  $k$ ,  $a_i$ ,  $b_{i0}$  and  $b_{i1}$  are constants. We get

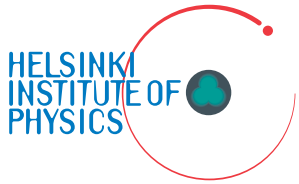
$$\frac{d\chi}{dh} = \pm \sqrt{K(h)} = \pm \sqrt{\frac{\sum_{n=0}^6 c_n h^{2n}}{\sum_{n=0}^7 d_n h^{2n}}}$$

- By tuning the constants, we can generate an inflection point,  $\alpha$ -attractor or

$$U \propto 1 - a\chi^2, U \propto 1 - a\chi^{-2/3}, U \propto \chi^2, U \propto \chi^{4/3}$$



# Higgs as a window to gravity



- Higgs inflation is a conservative possibility using only known degrees of freedom.
- Different formulations of general relativity become inequivalent theories when the matter couples to the connection.
- Higgs opens a window to gravitational degrees of freedom.
- In the Palatini case,  $r$  is suppressed compared to the metric case.
- In the metric case,  $R^2$  term leads to a complicated two-field model. In the Palatini case, it is harmless.
- In the Palatini case, new gravitational terms can completely change the effective potential. (More to come: teleparallel, Ashtekar, ...)