

Primordial Black Hole Formation in Affleck-Dine Mechanism

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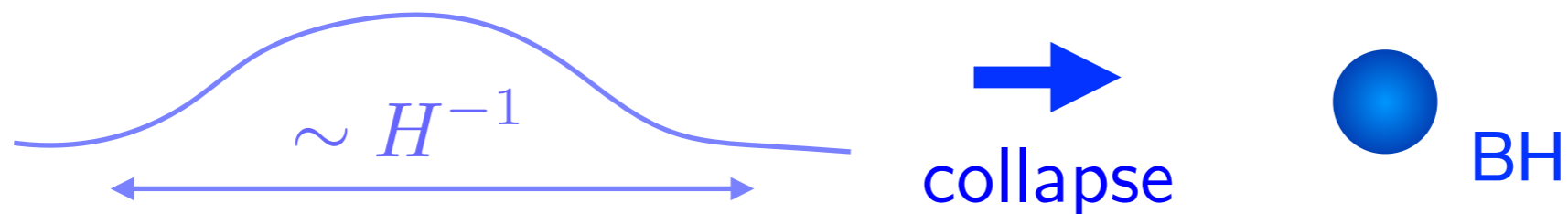
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Based on Hasegawa MK arXiv:1711.00990 PRD98 043514 (2018)

arXiv:1807.00463 JCAP 01 027 (2019)

1. Introduction

- **Primordial Black Holes (PBHs)** Zeldovich-Novikov (1967) Hawking (1971)
- PBHs have attracted much attention because they could
 - ▶ Give a significant contribution to **dark matter** ($>10^{15}$ g)
 - ▶ Account for **GW events** recently detected by LIGO-Virgo
- PBHs can be formed by gravitational collapse of over-density region with Hubble radius in the early universe



- Large density fluctuations δ with $O(0.1)$ are required for PBH formation but $\delta \sim O(10^{-5})$ on CMB scale

➔ need to break scale invariance of spectrum of density fluctuations

- It is difficult to realize large density fluctuations in a single-field inflation

- Sophisticated models are proposed

- ▶ Multi-stage inflation

Garcia-Bellido Linde Wands (1996)
MK, Sugiyama, Yanagida (1998)
MK Kusenko Tada Yanagida (2016)

- ▶ Axion-like curvaton model

MK, Kitajima, Yanagida (2012)
Ando, Inomata, MK, Mukaida, Yanagida (2017)

- ▶

- PBH formation by Affleck-Dine mechanism

Dolgov, Silk (1993)
Dogov MK Kevlishvili (2009)
Hsegawa, MK (2018)

- ▶ High-baryon bubbles are formed

➔ LIGO PBHs

- ▶ Evades constraints from pulsar timing and CMB μ -distortion which are severe for PBH formation from inflation

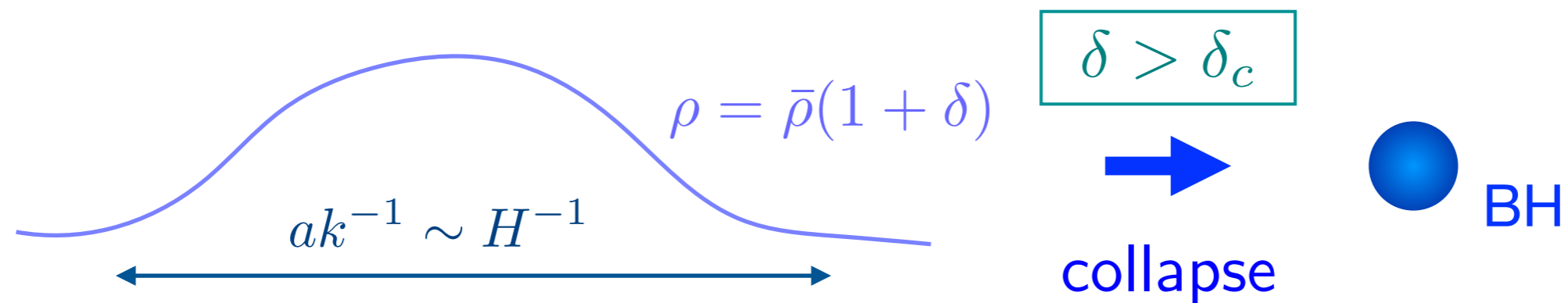


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2. Conventional PBH formation from inflation

- After inflation, when density fluctuations reenter the horizon, region with Hubble radius collapses to form a PBH if its overdensity is higher than δ_c (≈ 0.4)



- PBH mass (\sim Horizon mass)

$$M_{\text{PBH}} \simeq 3.6M_{\odot} \left(\frac{\gamma}{0.2}\right) \left(\frac{k}{10^6 \text{Mpc}^{-1}}\right)^{-2} \simeq 4.5M_{\odot} \left(\frac{\gamma}{0.2}\right) \left(\frac{T}{0.1 \text{GeV}}\right)^{-2}$$

$M_{\text{PBH}} = \gamma M_H$ (horizon mass)

[$\gamma = 0.2$ Carr (1975)]

2. Conventional PBH formation from inflation

- PBH abundance is estimated by Press-Schechter formalism assuming density fluctuations follow Gaussian statistics
- PBH mass fraction $\beta = \rho_{\text{PBH}}(M)/\rho$

$$\beta(M) = \int_{\delta_c} d\delta \frac{1}{\sqrt{2\pi\sigma^2(k)}} \exp\left(-\frac{\delta^2}{2\sigma^2(k)}\right)$$

$$\mathcal{P}_\zeta(k)$$

$$\sigma^2(k) = \int d\log k' W^2(k'/k) \frac{16}{81} (k'/k)^4 \mathcal{P}_\zeta(k')$$

$\sigma^2(k)$: variance of the comoving density perturbation coarse-grained on k^{-1}



$$\mathcal{P}_\zeta(k) \sim O(10^{-2})$$

for PBH formation

- Present PBH fraction to DM

$$f_{\text{PBH}}(M) = \frac{\Omega_{\text{PBH}}(M)}{\Omega_{\text{DM}}} \simeq 1.3 \times 10^8 \beta(M) \left(\frac{M_{\text{PBH}}}{M_\odot}\right)^{-1/2}$$

[fraction per log M]

3.1 Constraint from CMB spectral distortion

- Photon diffusion erases small-scale curvature perturbations

➔ Silk damping

- Diffusion injects energy of perturbations into background

➔ CMB spectral distortion (mu distortion)

- CMB observation (COBE/FIRAS)

$$f(p) = \frac{1}{\exp(p/T + \mu) - 1}$$

$$|\mu| < 9 \times 10^{-5}$$

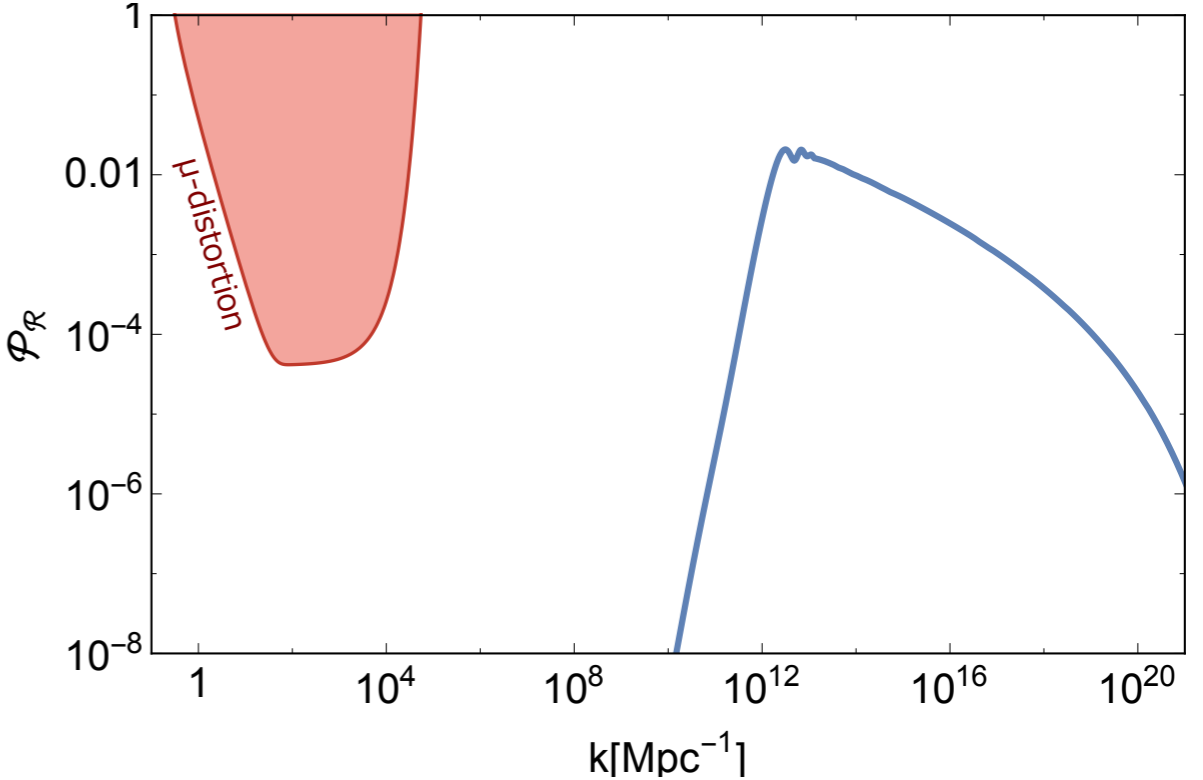
▶ Stringent constraint on curvature perturbations



- PBH with mass

$$400 M_{\odot} \lesssim M_{\text{PBH}} \lesssim 10^{13} M_{\odot}$$

is excluded



3.2 Constraint from pulsar timing

- Large curvature perturbations required for PBH induce tensor perturbations (gravitational waves) through 2nd order effect

Saito Yokoyama (2009) Bugaev Kulimai (2010)

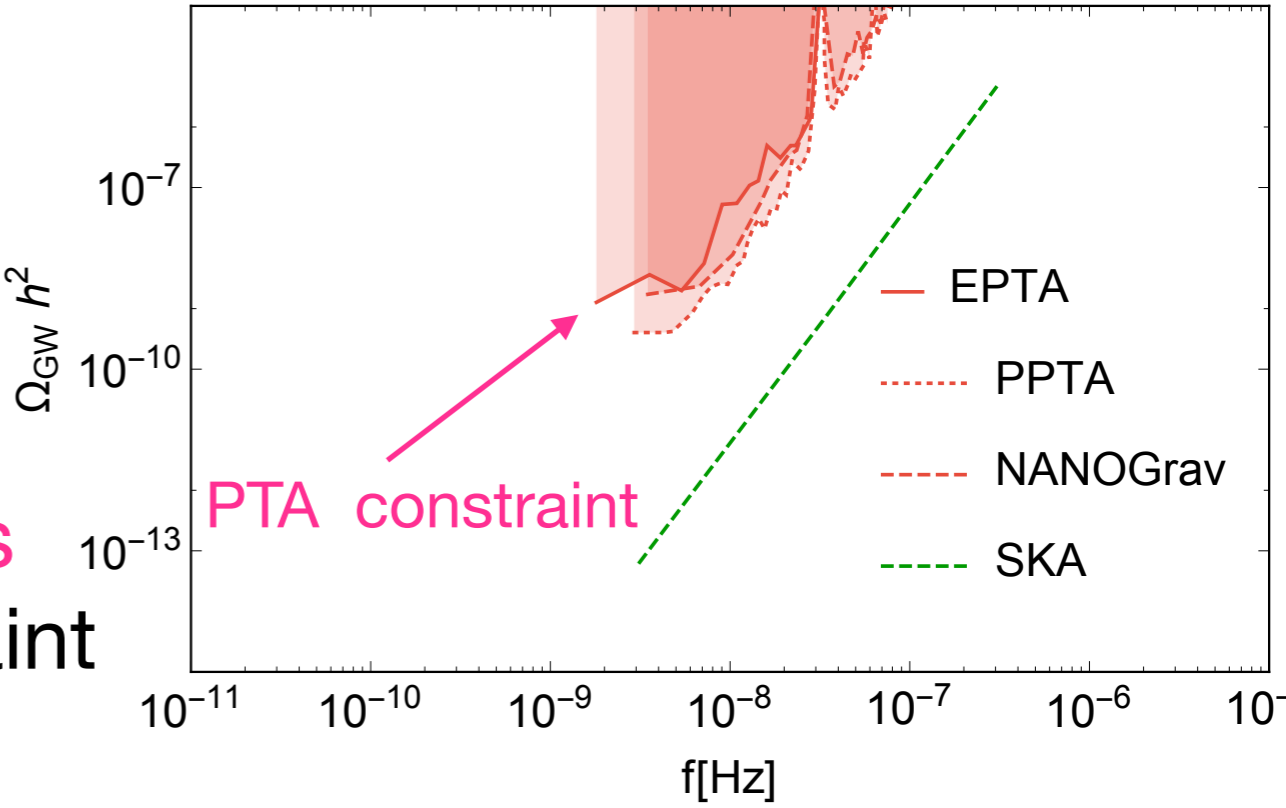
$$O(\zeta_{\vec{k}} \zeta_{\vec{k}-\vec{k}'})$$

$$h''_{\vec{k}} + 2\mathcal{H}h'_{\vec{k}} + k^2 h_{\vec{k}} = \mathcal{S}(\vec{k}, t)$$

h_k : tensor perturbation

➔ $\Omega_{\text{GW}} h^2 \sim 10^{-8} (\mathcal{P}_\zeta / 10^{-2})^2$

$$f_{\text{GW}} \sim 2 \times 10^{-9} \text{Hz} \left(\frac{\gamma}{0.2}\right)^{1/2} \left(\frac{M_{\text{PBH}}}{M_\odot}\right)^{-1/2}$$



- Pulsar timing array experiments already give a stringent constraint

- PBH with mass

$$0.1 M_\odot \lesssim M_{\text{PBH}} \lesssim 10 M_\odot \text{ is excluded}$$

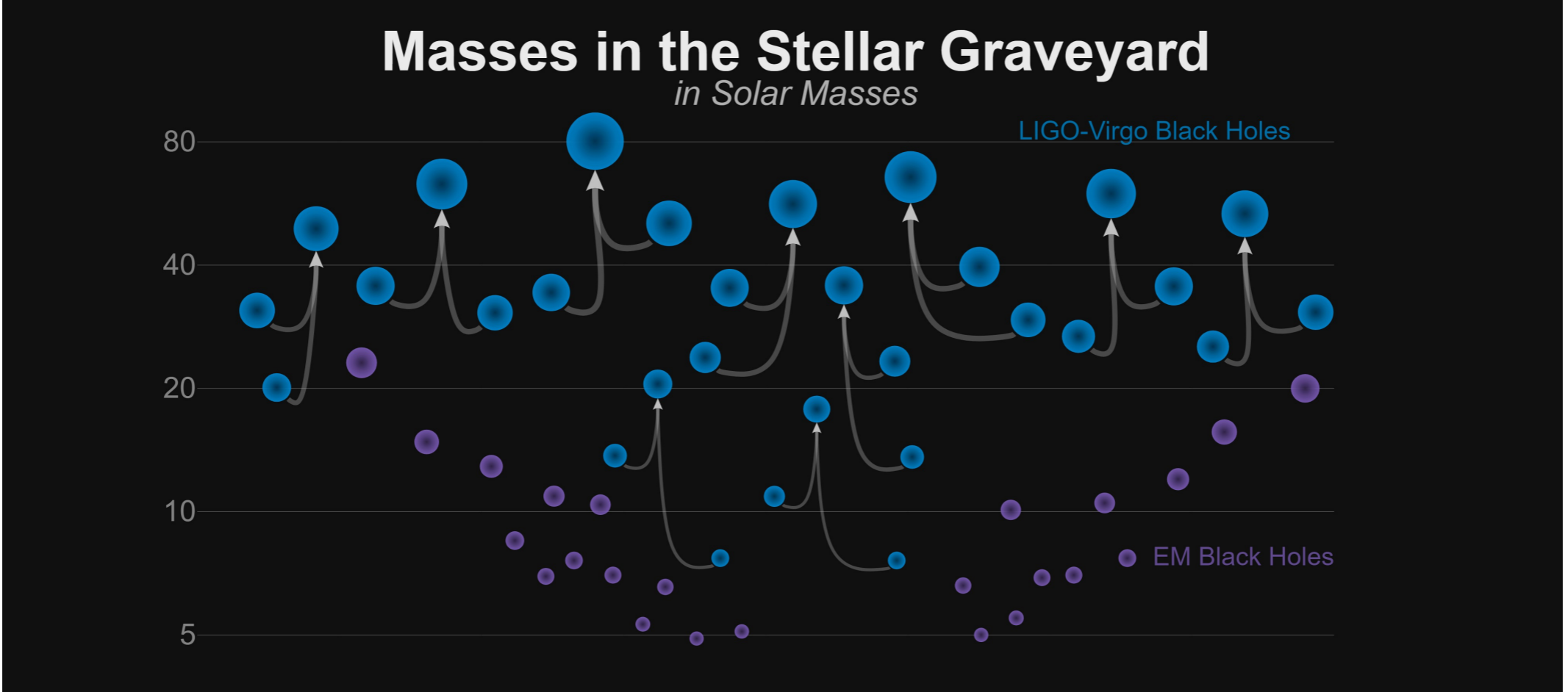
3.3. LIGO-Virgo gravitational wave events

- GW events by LIGO Abbott et al (2016, 2017)
 - ➔ BH-BH binaries with $\sim 30 M_{\odot}$

- Origin of BHs
 - ➔ PBHs are one of candidates

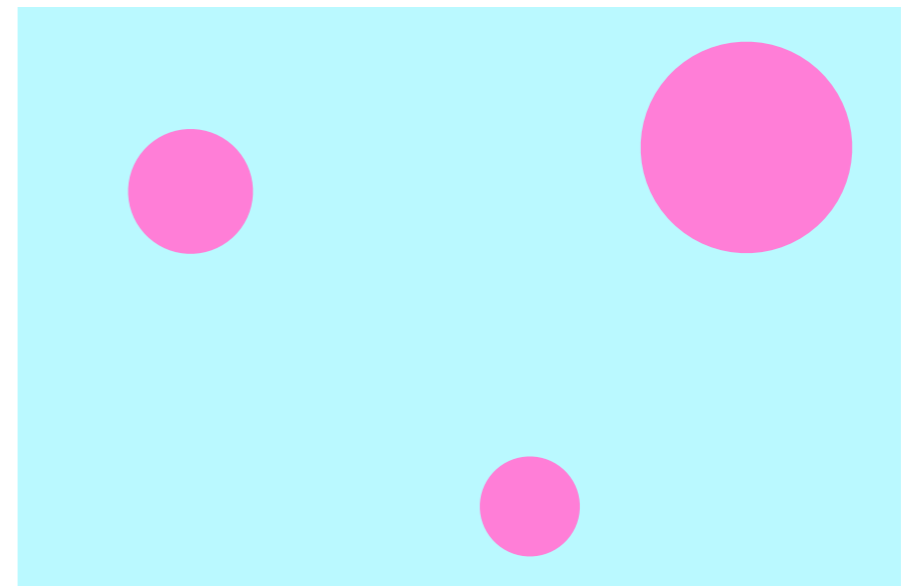
- Required fraction of PBHs Sasaki Suyama Tanaka Yokoyama (2016)

$$\Omega_{\text{PBH}}/\Omega_c \sim 10^{-3} - 10^{-2}$$



3.3 Gaussian fluctuations

- In order to account LIGO events PBH mass spectrum has a sharp peak around $M_{\text{PBH}} \sim O(10)M_{\odot}$
- PBH with mass $> O(100)M_{\odot}$ cannot be produced
- Highly non-gaussian model evades those constraints
 - ▶ Rare high density regions
 - ▶ small density fluctuation outside the regions



4.1 PBH formation in Affleck-Dine mechanism

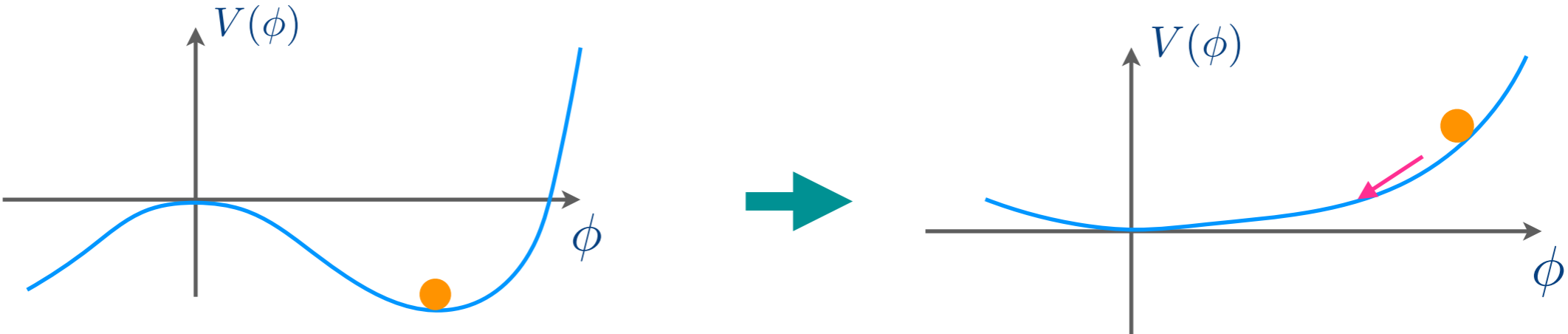
- Affleck-Dine mechanism
 - ▶ Flat directions in scalar potential of MSSM $\ni (\tilde{q}, \tilde{\ell}, H)$
- One of flat directions = AD field ϕ

$$V(\phi) = (m_\phi^2 + c_H H^2) |\phi|^2 + \lambda^2 \frac{|\phi|^{2(n-1)}}{M_p^{2(n-3)}} + A \frac{\phi^n}{M_p^{(n-3)}} + h.c.$$

SUSY breaking mass term Hubble induced mass term V_{NR} : Non-renormalizable term ($n \geq 1$) V_A : A-term

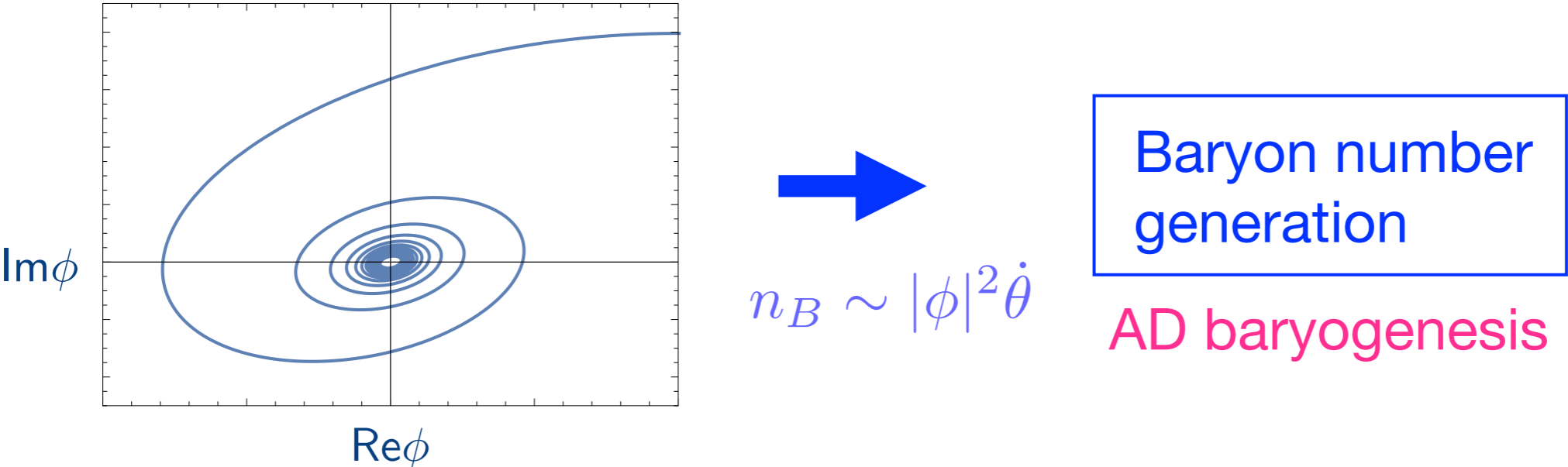
$$A = \lambda a_M m_{3/2} \quad (m_{3/2} : \text{gravitino mass})$$

- During inflation ϕ has a large value if $c_H < 0$
- After inflation, when $m_\phi \simeq H$ ϕ starts to oscillate



4.1 PBH formation in Affleck-Dine baryogenesis

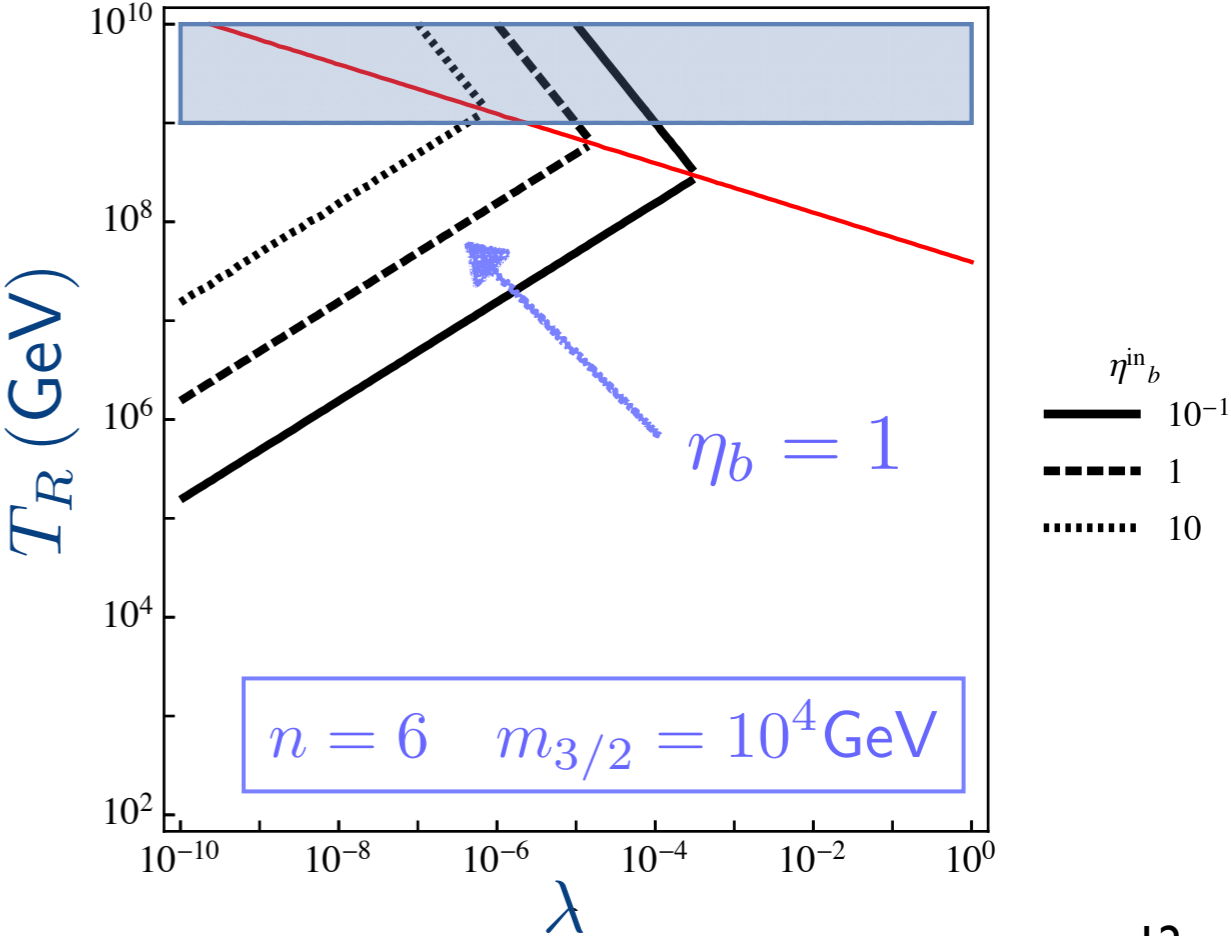
- AD field is kicked in phase direction due to A-term



- AD mechanism can generate baryon number efficiently

$$\eta_b = \frac{n_b}{s} \sim \frac{T_R m_{3/2}}{H_{osc}^2} \left(\frac{\phi_{osc}}{M_p} \right)^2$$

▶ large baryon asymmetry
 $\eta_b \sim 1$ is realized



4.2 High-baryon bubble formation

- Two unconventional assumptions:
 - ▶ Hubble mass is positive during inflation and becomes negative after inflation
 - ▶ Thermal mass overcomes Hubble mass after inflation
- Potential for AD field

$$V = \begin{cases} (m_\phi^2 + c_I H^2) |\phi|^2 + V_{\text{NR}} + V_A & \text{(during inflation)} \\ (m_\phi^2 - c_M H^2) |\phi|^2 + V_{\text{NR}} + V_A + \underline{V_T} & \text{(after inflation)} \end{cases}$$

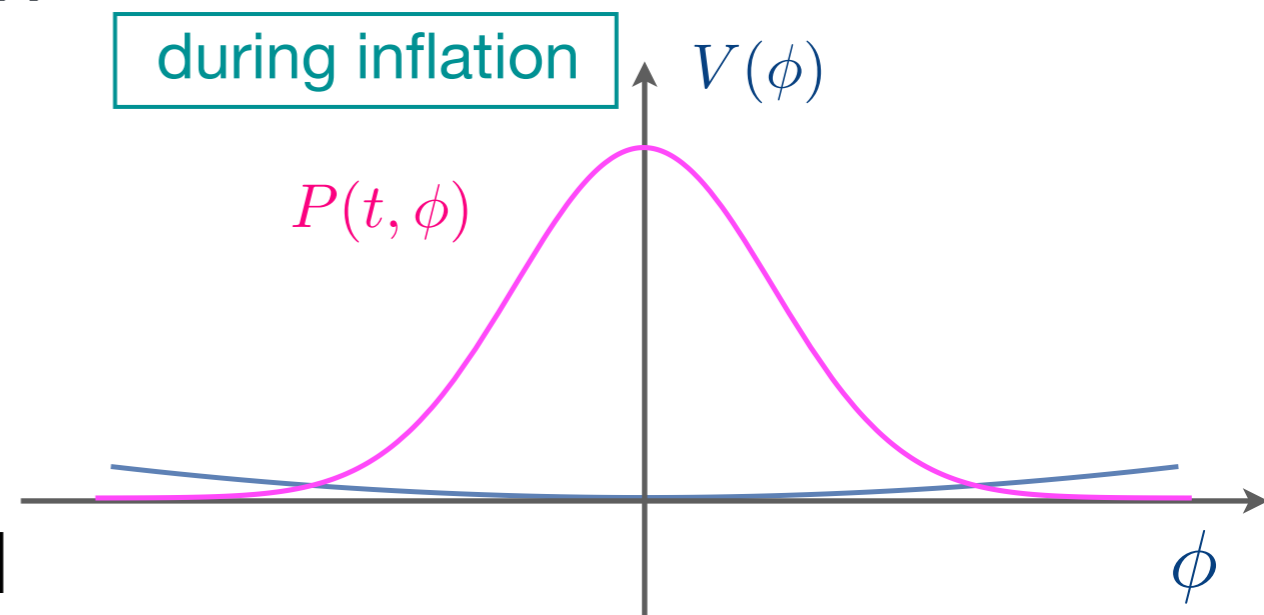
$$V_T = \begin{cases} c_1 T^2 |\phi|^2 & |\phi| \lesssim T \\ c_2 T^4 \ln(|\phi|^2 / T^2) & |\phi| \gtrsim T \end{cases}$$

4.2 High-baryon bubble formation

- During inflation
 - ▶ $c_H > 0$ (positive Hubble mass)
 - ▶ Flat potential $c_H \ll 1$
- Quantum fluctuations of AD field
 - ▶ Gaussian distribution

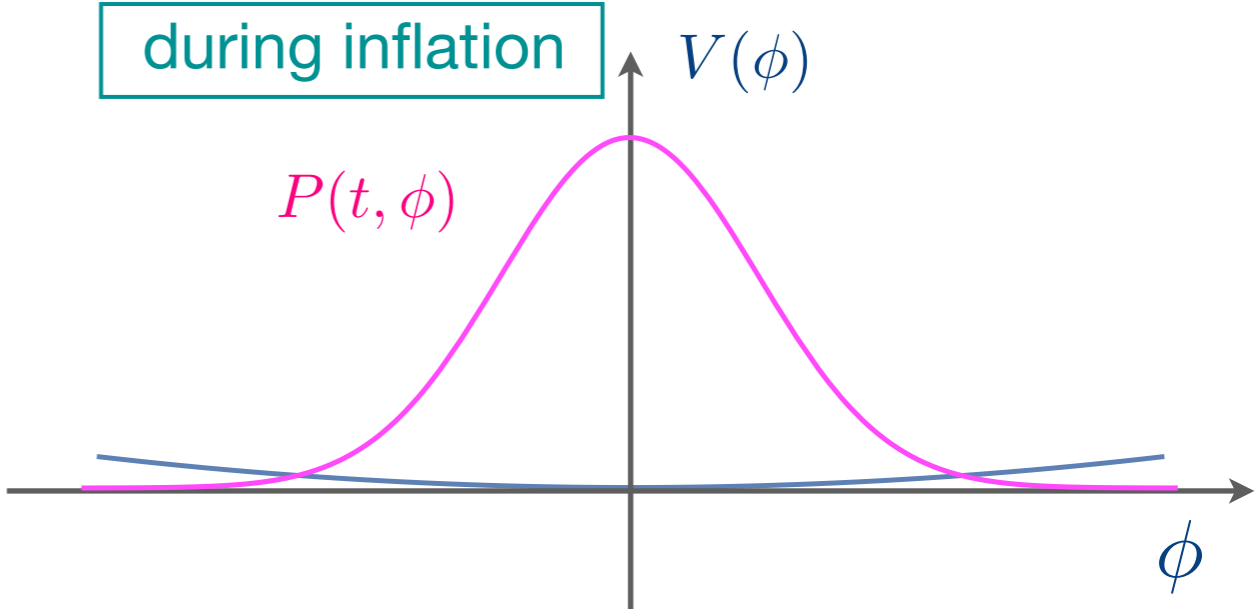
$$P(t, \phi) = \frac{1}{2\pi\sigma(t)^2} \exp\left[-\frac{|\phi|^2}{2\sigma(t)^2}\right]$$

$$\sigma^2 = \left(\frac{H_I}{2\pi}\right)^2 \left(\frac{2}{3c_H}\right) \left[1 - e^{-(2c_H/3)H_I t}\right]$$



4.2 High-baryon bubble formation

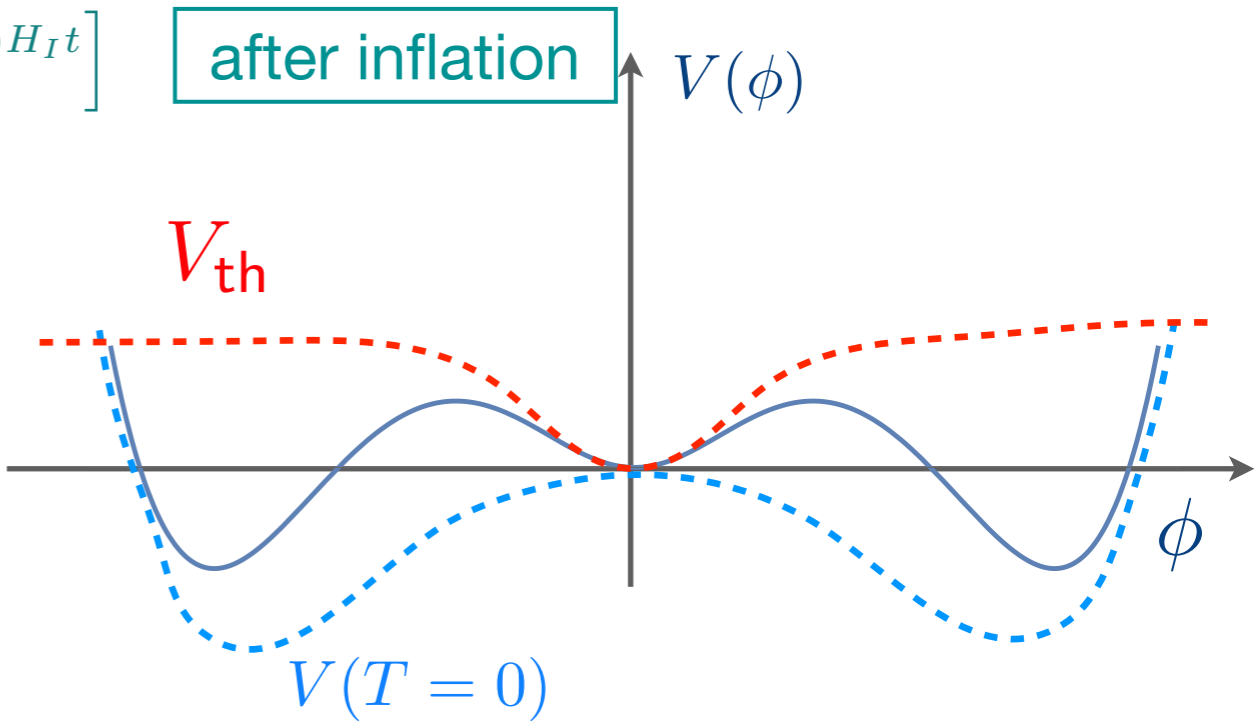
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- After inflation
 - ▶ $c_H < 0$ (negative Hubble mass)
 - ▶ Thermal effect due to inflaton decay



➔ multi-vacua

$$\Delta \equiv \frac{T_R^2 M_p}{H_I^3} \gtrsim 1$$

4.2 High-baryon bubble formation

- Regions with $|\phi| < \varphi_c$ go to A-vacuum

$$\varphi_c = \Delta^{1/2} H_I \quad \Delta = \frac{T_R^2 M_p}{H^3}$$

▶ no baryon generation

- Regions with $|\phi| > \varphi_c$ go to B-vacuum

▶ baryon generation takes place

(same way as the standard AD)

▶ Efficient AD baryogenesis

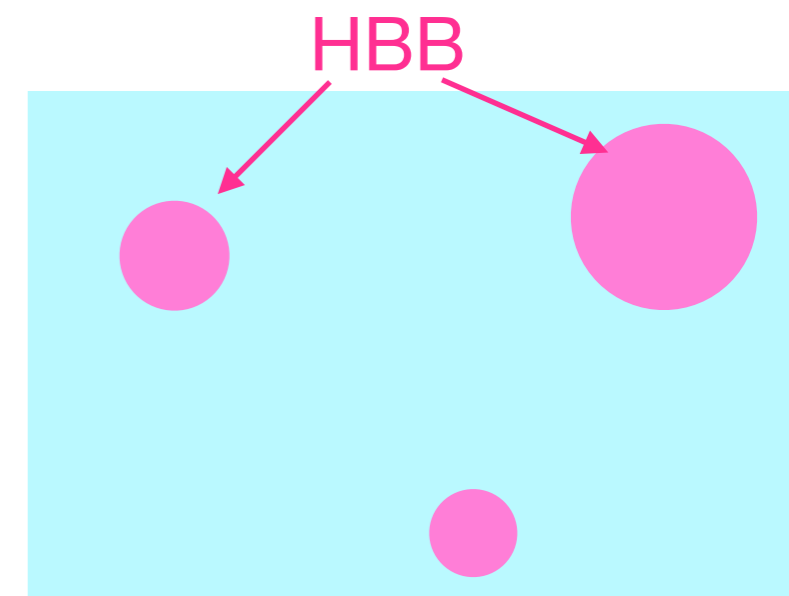
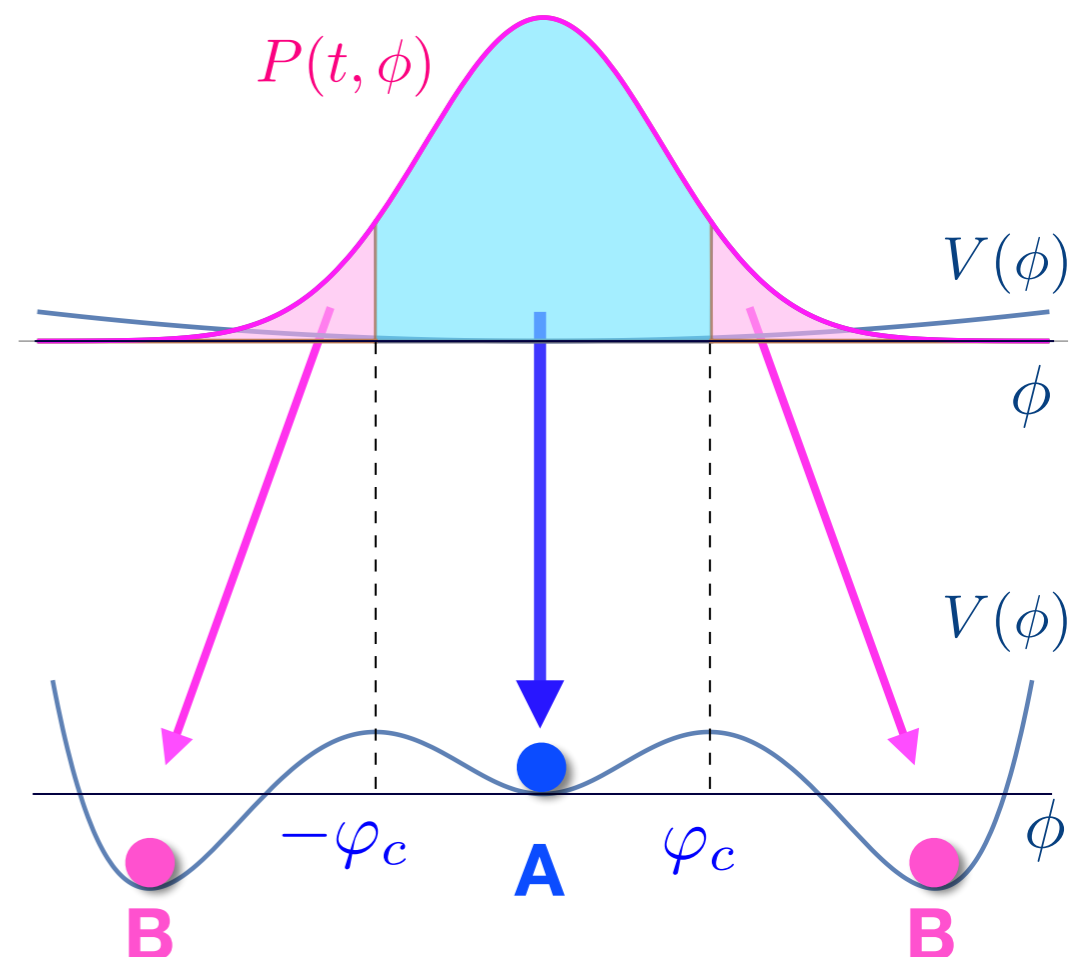
➔ Formation of high-baryon bubble

- Fraction of volume which will go to B-vacuum

$$f_B(N) = \int_{\varphi > \varphi_c} d\phi P(N, \phi) \quad N \propto \ln a$$

- Formation rate of HBB with scale $k(N) = k^* \exp(N - N^*)$

$$\beta_B(N) = \frac{d}{dN} f_B(N)$$



4.3 Q-ball formation

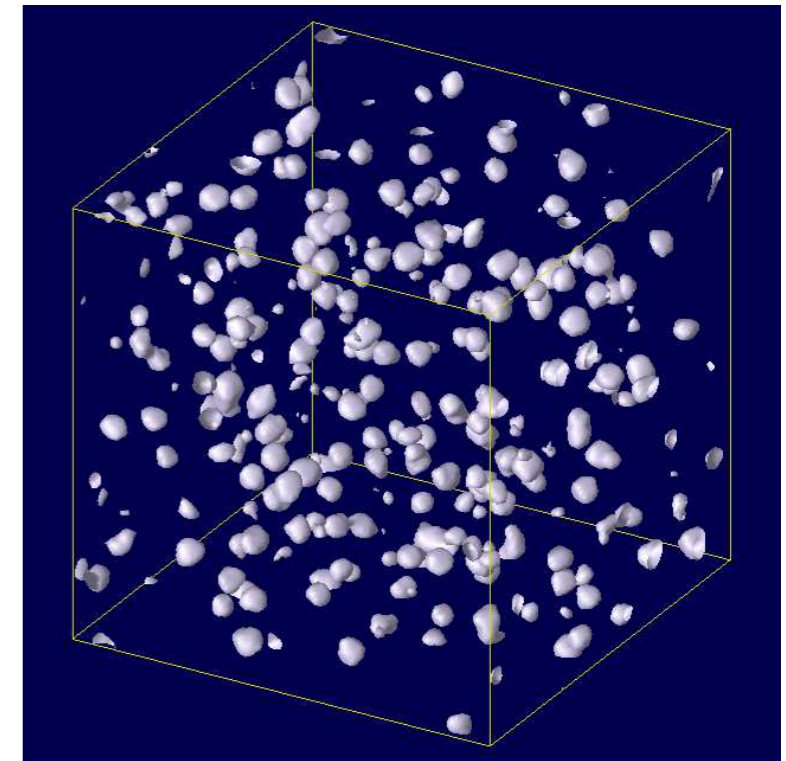
- In AD mechanism, AD field oscillation generally form Q-balls
 - ▶ non-topological soliton solution in a scalar theory with U(1)
- Q-ball properties depend on SUSY breaking scheme
- Gravity-mediated SUSY breaking scenario

$$V \simeq m_\phi^2 |\phi|^2 \left[1 + K \ln \left(\frac{|\phi|^2}{M_*^2} \right) \right] \quad m_\phi \sim \mathcal{O}(1) \text{TeV}$$

- ▶ $K < 0$ Q-balls are formed but they are **unstable**
- ▶ No effect on HBB bubble formation
- Gauge-mediated SUSY breaking scenario

$$V \simeq M_F^4 \left(\ln \frac{|\phi|^2}{M_{\text{mess}}^2} \right)^2 + m_{3/2}^2 |\phi|^2 \left[1 + K \ln \left(\frac{|\phi|^2}{M_*^2} \right) \right] \quad m_{3/2} < 1 \text{GeV}$$

- ▶ Q-balls are formed and they are **stable**
- ▶ Baryons are confined inside Q-balls



Hiramatsu MK Takahashi (2010)

4.4 PBH formation in gravity-mediated SUSY breaking

- For simplicity we assume $\eta_b = 1$ inside HBBs
- After QCD phase transition baryon number is carried by non-relativistic nucleons

- Density contrast between inside and outside of HBBs

$$\delta = \frac{\rho^{\text{in}} - \rho^{\text{out}}}{\rho^{\text{out}}} \simeq 0.3\eta_b^{\text{in}} \left(\frac{T}{200\text{MeV}} \right)^{-1}$$

$$\Rightarrow \delta \gtrsim \delta_c \quad \text{for } T \lesssim 200\text{MeV}$$

PBH formation

- PBH mass has a lower cutoff $M_c \simeq 18M_\odot$
- PBH mass fraction at formation

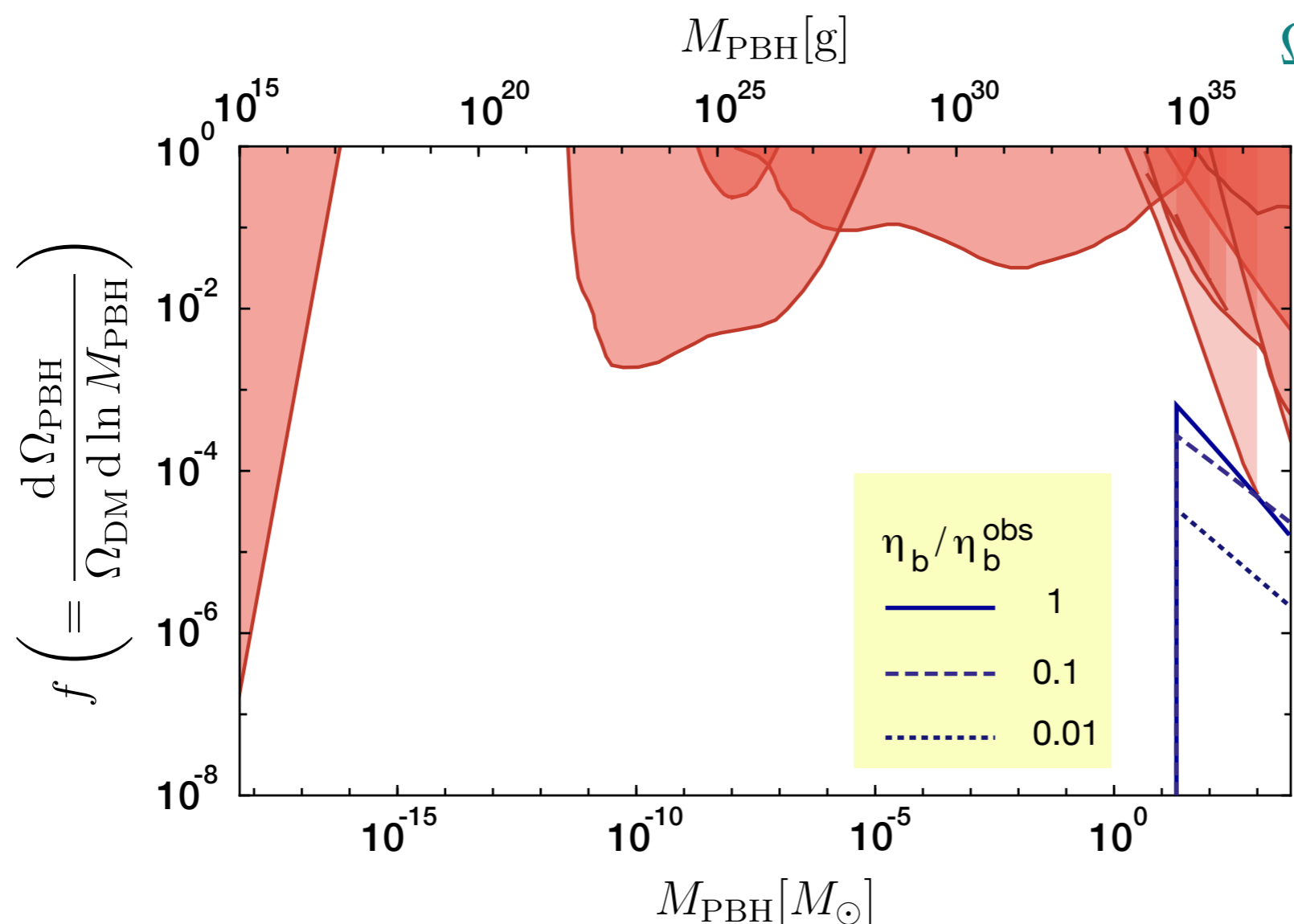
$$\beta_{\text{PBH}}(M_{\text{PBH}}) = \beta_B(M_{\text{PBH}})\theta(M_{\text{PBH}} - M_c)$$

- PBH mass distribution

$$\Omega_{\text{PBH}}(M_{\text{PBH}})/\Omega_c \simeq \left(\frac{\beta_{\text{PBH}}}{1.6 \times 10^{-9}} \right) \left(\frac{M_{\text{PBH}}}{M_\odot} \right)^{1/2}$$

4.4 PBH formation in gravity-mediated SUSY breaking

- Predicted mass spectrum can account for LIGO events



$$\Omega_{\text{PBH}}/\Omega_c \sim 10^{-3} - 10^{-2}$$

- HBBs with $M < M_c$ contribute to baryon asym. of the universe
- baryons are highly inhomogeneous, which spoils success of standard BBN $\rightarrow \eta_b^{\text{HBB}} \ll \eta_b^{\text{obs}}$
- This can be satisfied by modifying the model

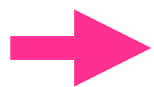
4.5 PBH formation in gauge-mediated SUSY breaking

- Q-balls are formed and they behave like matter
- Density contrast between inside and outside of HBBs

$$\delta = \frac{\rho^{\text{in}} - \rho^{\text{out}}}{\rho^{\text{out}}} \simeq \frac{4}{3} \frac{Y_Q^{\text{in}}}{T}$$

$$Y_Q^{\text{in}} = \rho_Q / s \simeq m_{3/2} \eta_b^{\text{in}}$$

$$\Rightarrow \delta \gtrsim \delta_c \quad \text{for } T \lesssim 5 Y_Q^{\text{in}}$$



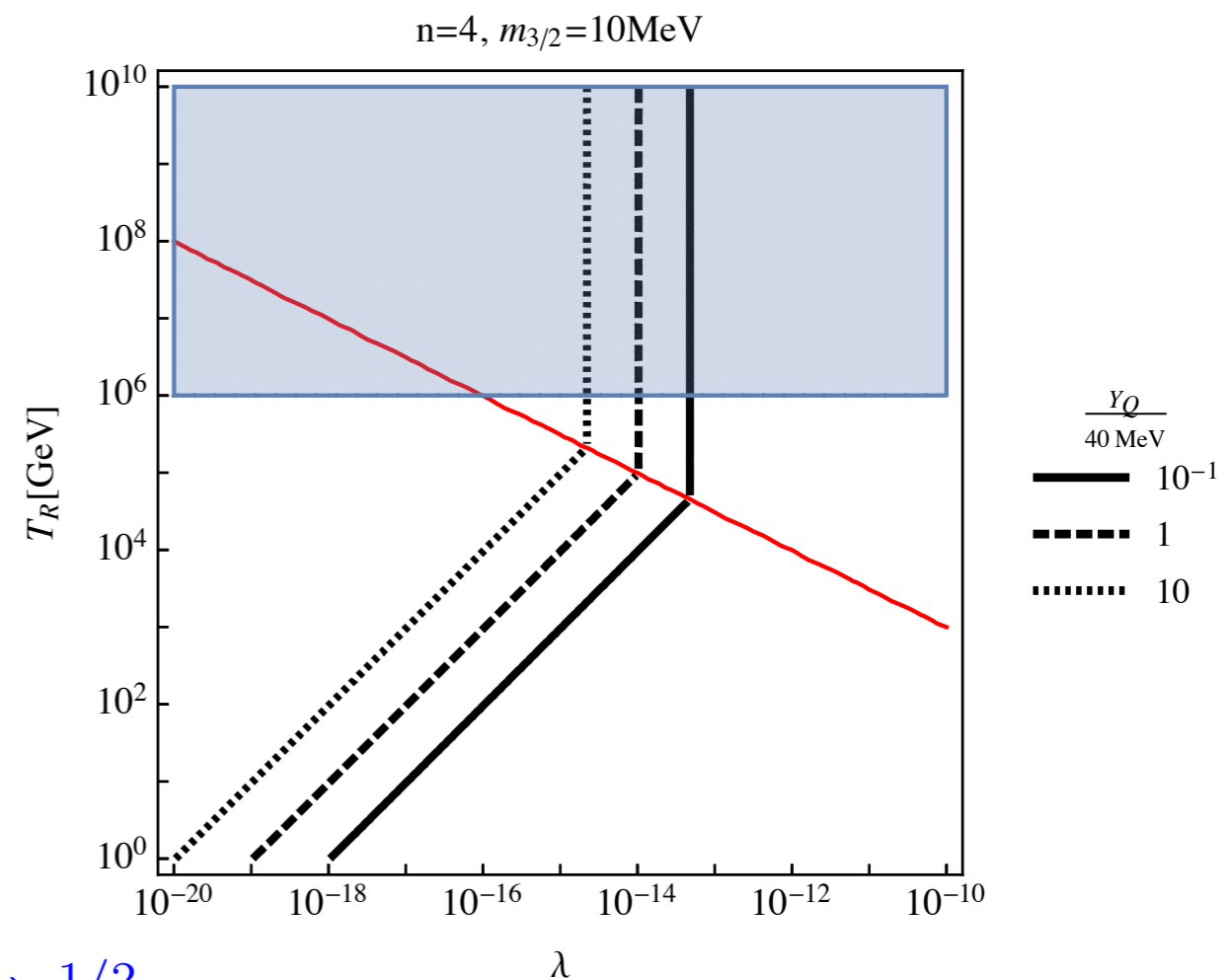
PBH formation

- PBH mass has a lower cutoff

$$M_c \simeq 18 M_\odot \left(\frac{Y_Q^{\text{in}}}{40 \text{ MeV}} \right)^{-2}$$

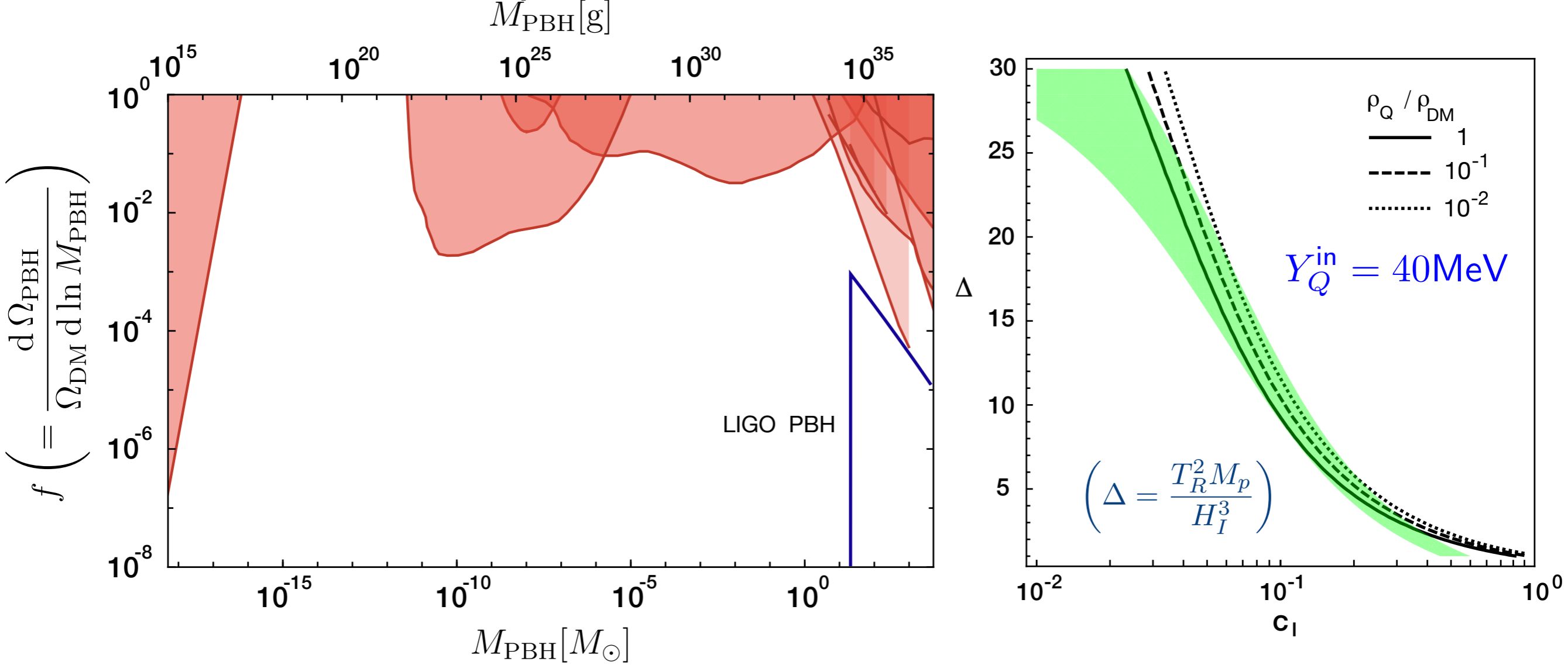
- PBH mass distribution

$$\Omega_{\text{PBH}}(M_{\text{PBH}}) / \Omega_c \simeq \left(\frac{\beta_{\text{PBH}}}{1.6 \times 10^{-9}} \right) \left(\frac{M_{\text{PBH}}}{M_\odot} \right)^{1/2}$$



4.4 PBH formation in gauge-mediated SUSY breaking

- Predicted mass spectrum can account for LIGO events



- Q-balls in HBBs with $M < Mc$ contribute to DM
- This scenario can explain both LIGO events and DM simultaneously
- Possible to form supermassive PBH $M_{\text{PBH}} \gg 100 M_{\odot}$

5. Conclusion

- Affleck-Dine mechanism produces HBBs which form PBHs with $> O(10)$ solar mass
- The model can account LIGO events evading the constraints from CMB spectral distortion and pulsar timing
- High baryon bubbles also produce Q-ball DM
- Supermassive BH can be produced in this mechanism