

# Pestov identities for generalized X-ray transforms

Inverse Problems Seminar  
University of Washington

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including joint work with Gabriel Paternain and Mikko Salo

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("Jyväskylä" = "you vascular" +  $\mathcal{O}(1)$ )

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# Events in 1917

- Radon publishes *Über die Bestimmung von Funktionen durch ihre Integralwerte längs gewisser Mannigfaltigkeiten* (On the determination of functions from their integral values over certain manifolds), which starts the theory of Radon and X-ray transforms.

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- Finland declares independence.

- 1 Ray tomography on simple surfaces
  - The sphere bundle
  - From ray transform to transport equation
  - The Pestov identity
- 2 Higher dimensions
- 3 Broken ray tomography
- 4 Pseudo-Riemannian manifolds
- 5 Extra material

# The sphere bundle

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- $SM$  is a subbundle of the tangent bundle  $TM$ .
- If  $\dim(M) = n$ , then  $\dim(SM) = 2n - 1$ .

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These vector fields are Sasaki-orthonormal.

# From ray transform to transport equation



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- If  $(x, v) \in SM$ , denote by  $\gamma_{x,v}$  the geodesic with  $\gamma(0) = x$  and  $\dot{\gamma}(0) = v$ .
- Let  $\tau_{x,v} \geq 0$  be the exit time of the geodesic  $\gamma_{x,v}$ .

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- Since  $u^f$  is the integral of  $f$  along a geodesic, the fundamental theorem of calculus gives

$$Xu^f(x, v) = -f(x)$$

for all  $(x, v) \in SM$ . This is the transport equation.

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- Since  $f$  integrates to zero over all geodesics,  $u^f$  is zero at  $\partial(SM)$ .

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- The lower order term  $\frac{1}{2}X_{\perp}$  plays a role: if we change its sign, we *always* lose unique solvability.
- There is an energy identity for this PDE that allows us to deduce that solutions are unique on some manifolds.

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*Let  $M$  be a compact, orientable Riemannian surface with boundary. If  $u \in C^\infty(SM)$  with  $u|_{\partial(SM)} = 0$ , then*

$$\|VXu\|^2 = \|XVu\|^2 - \langle KVu, Vu \rangle + \|Xu\|^2.$$

*The norms and inner products are those of  $L^2(SM)$  and  $K$  is the Gaussian curvature.*

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## Proof

Calculate  $\|VXu\|^2 - \|XVu\|^2$  using integration by parts and commutator relations:  $[X, V] = X_\perp$ ,  $[V, X_\perp] = X$ ,  $[X, X_\perp] = -KV$ .  $\square$

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- If  $K \leq 0$ , then all terms are non-negative and therefore vanish. In particular,  $f = -Xu^f = 0$ .
- If the manifold is simple, then

$$\|XVu\|^2 - \langle KVu, Vu \rangle \geq 0$$

for all  $u \in C^\infty(SM)$ , and the same conclusion holds. [Mukhometov 1977, ...]

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- In 2D we had  $V^* = -V$  and  $X_{\perp}^* = -X_{\perp}$ , but in higher dimensions the vertical and horizontal gradients are different objects than the vertical and horizontal divergences ( $\overset{v}{\text{div}}, \overset{h}{\text{div}}$ ).

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- I will be very brief. For more details in higher dimensions, see  
*Paternain–Saló–Uhlmann (Math. Ann. 2015): Invariant distributions, Beurling transforms and tensor tomography in higher dimensions*

and references therein.

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where  $R$  is an operator given by the Riemann curvature tensor. The norms and inner products are those of  $L^2(SM)$ .



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where  $R$  is an operator given by the Riemann curvature tensor. The norms and inner products are those of  $L^2(SM)$ .

If  $M$  has non-positive sectional curvature, all terms are non-negative.

# Consequences

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## Theorem (Mukhometov 1977)

*The X-ray transform is injective on all simple manifolds.*

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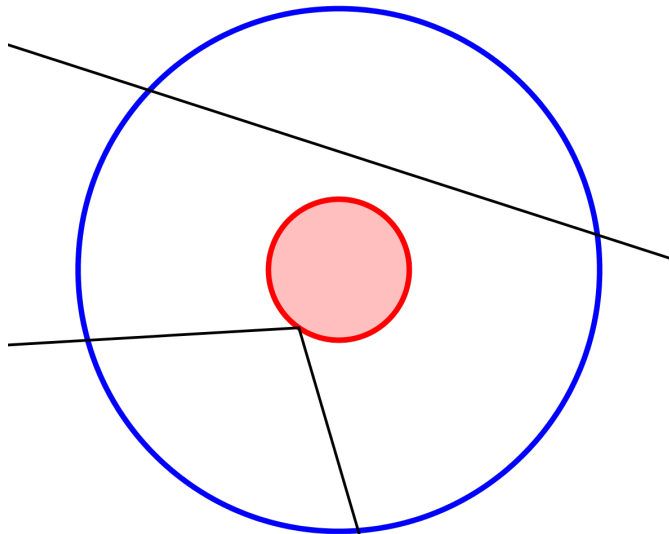
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- The Pestov identity can also be used on non-compact manifolds. [[Lehtonen, 2016](#)]



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  - Two dimensions
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# The broken ray transform



One reflecting obstacle in a domain. Two broken rays.

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## Problem

*Is a function determined by its integrals over all broken rays?*

# Two dimensions

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- This  $u^f$  satisfies  $u^f = u^f \circ \rho$  on  $SR$ .

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Let  $M$  be a compact, orientable Riemannian surface with boundary. If  $u \in C^\infty(SM)$  with  $u|_{\partial(SM)\setminus SR} = 0$  and  $u = u \circ \rho$  on  $SR$ , then

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Technical problem: The function  $u^f$  is not a priori smooth at all!

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*Let  $M$  be a non-positively curved Riemannian surface with strictly convex boundary. Add a strictly convex reflecting obstacle. Then the broken ray transform is injective.*

# Higher dimensions

- Pestov identity in 2D with boundary term:

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- There is a similar boundary term in higher dimensions.

Theorem (I.–Paternain, unpublished)

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*Let  $M$  be a non-positively curved Riemannian manifold with strictly convex boundary. Add a strictly convex reflecting obstacle. Then the broken ray transform is injective.*

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- A pseudo-Riemannian metric of signature  $(n_1, n_2)$  is like the matrix

$$\begin{pmatrix} 1 & & & & & \\ & \ddots & & & & \\ & & 1 & & & \\ & & & -1 & & \\ & & & & \ddots & \\ & & & & & -1 \end{pmatrix},$$

with  $n_1$  positive signs and  $n_2$  negative ones.



# Pseudo-Riemannian products

For two Riemannian manifolds  $(M_1, g_1)$  and  $(M_2, g_2)$  we can equip the product manifold  $M_1 \times M_2$  with the Riemannian product metric  $g_1 \oplus g_2$  or the pseudo-Riemannian product metric  $g_1 \ominus g_2$ .

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## Theorem (I., 2016)

*Let  $M_1$  and  $M_2$  be two Riemannian manifolds of non-negative sectional curvature, strictly convex boundary and dimension  $\geq 2$ . Then the null geodesic X-ray transform (light ray transform) is injective on the pseudo-Riemannian product  $(M_1 \times M_2, g_1 \ominus g_2)$ .*

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The proof is based on a Pestov identity. We assume that the signature  $(n_1, n_2)$  satisfies  $n_1 \geq 2$  and  $n_2 \geq 2$ . No Pestov identity method is known in Lorentzian geometry ( $n_2 = 1$ ).

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- We can use the natural operators on both sphere bundles:  $X_1, \overset{v}{\text{div}}_1, \overset{h}{\nabla}_2, R_2 \dots$
- The null geodesic flow is generated by  $X = X_1 + X_2$ .

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## Lemma (Pestov identity)

If  $u: LM \rightarrow \mathbb{R}$  is smooth and vanishes at the boundary, then

$$\begin{aligned} & (n_2 - 1) \left\| \overset{\vee}{\nabla}_1 Xu \right\|^2 + (n_1 - 1) \left\| \overset{\vee}{\nabla}_2 Xu \right\|^2 \\ &= (n_2 - 1) \left\| X \overset{\vee}{\nabla}_1 u \right\|^2 + (n_1 - 1) \left\| X \overset{\vee}{\nabla}_2 u \right\|^2 \\ &\quad - (n_2 - 1) \left\langle R_1 \overset{\vee}{\nabla}_1 u, \overset{\vee}{\nabla}_1 u \right\rangle - (n_1 - 1) \left\langle R_2 \overset{\vee}{\nabla}_2 u, \overset{\vee}{\nabla}_2 u \right\rangle \\ &\quad + (n_1 - 1)(n_2 - 1) \|Xu\|^2. \end{aligned}$$



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- For other Lorentzian and pseudo-Riemannian manifolds the problem is open.



- 1 Ray tomography on simple surfaces
- 2 Higher dimensions
- 3 Broken ray tomography
- 4 Pseudo-Riemannian manifolds
- 5 Extra material
  - Vertical and horizontal things
  - A proof of HD Pestov identity
  - Vector (and tensor) fields

- How to split  $TTM$  into vertical and horizontal subbundles?
- Identifying  $H(x, v)$  and  $V(x, v)$  with  $T_xM$ .
- The Sasaki metric.
- What are the different derivatives on  $SM$ ?

# A proof of HD Pestov identity

- The curvature operator.
- Commutator formulas.
- Adjoint operators.

# Vector (and tensor) fields

- Integrating a (covariant) tensor field over a function.
- Gauge freedom.
- Proof for one-forms.
- Why does the simple proof not work for tensor fields?

Thank you.

Slides are available at <http://users.jyu.fi/~jojapeil>.