

# Geodesic X-ray tomography and geophysical applications

Special lecture at CAAM  
Rice University

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- 1 X-ray imaging
  - The problem
  - The X-ray transform
- 2 X-ray tomography and manifolds
- 3 The Pestov identity
- 4 Various generalizations
- 5 Applications

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- If we measure the initial and final intensities  $I(0)$  and  $I(L)$ , we in fact measure the integral

$$\int_0^L f(x)dx.$$

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- Problem: Given the integrals of a function  $f: \mathbb{R}^n \rightarrow \mathbb{R}$  over all lines, find the function  $f$ .
- This problem was first solved by Johann Radon in 1917 and again by Allan Cormack in 1963. In 1979 Cormack and Hounsfield got the Nobel Prize in medicine for developing the CT scan.

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- We want to know if a function can be recovered from its integrals over all lines. In other words, we want to know if the X-ray transform  $I$  is injective.

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  - The X-ray transform on a manifold
  - The unit sphere bundle  $SM$
  - Three vector fields on  $SM$
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- Is this  $I$  injective? What do we need to assume about the manifold and the function  $f$ ?



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- In Euclidean spaces we have several inversion formulas to find  $f$  from  $If$ .
- On manifolds there typically are no simple formulas, but there are iterative algorithms (Neumann series).
- The focus in this talk is in proving injectivity.

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- If  $M = \bar{\Omega}$  for a domain  $\Omega \subset \mathbb{R}^n$ , then  $SM = M \times S^{n-1}$ .



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- The geodesic flow  $\phi_t$  is simply given by  $\phi_t(x, v) = (\gamma_{x,v}(t), \dot{\gamma}_{x,v}(t))$ .
- Notice that  $\phi_t(x, v)$  is not defined for all  $t$  since the geodesics reach the boundary, so  $\phi_t: SM \rightarrow SM$  is only a partial map if  $t \neq 0$ .

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$$Xu(x, v) = \left. \frac{d}{dt} u(\phi_t(x, v)) \right|_{t=0},$$

for a function  $u$  on  $SM$ . This  $X$  is a vector field on  $SM$ .

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- $X$  is the geodesic vector field. It generates the geodesic flow.
- In Euclidean geometry  $X = v \cdot \nabla_x$ .

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- $V$  is known as the vertical vector field.
- The integral curves of  $V$  are the fibers  $S_x M$ .

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- These three vector fields  $X, V, X_{\perp}$  are a global orthonormal frame on  $SM$  when  $SM$  is equipped with the Sasaki metric.
- We have the commutator relations

$$\begin{aligned}[X, V] &= X_{\perp}, \\ [V, X_{\perp}] &= X \text{ and} \\ [X, X_{\perp}] &= -KV,\end{aligned}$$

where  $K$  is the Gaussian curvature.

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- Define  $u^f : SM \rightarrow \mathbb{R}$  as

$$u^f(x, v) = \int_0^{\tau_{x,v}} f(\gamma_{x,v}(t)) dt.$$

Here  $\tau_{x,v} \geq 0$  is the exit time of the geodesic  $\gamma_{x,v}$ .

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- Since  $u^f$  is the integral of  $f$  along a geodesic, the fundamental theorem of calculus gives

$$Xu^f(x, v) = -f(x)$$

for all  $(x, v) \in SM$ . This is the transport equation.

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- Since  $f$  integrates to zero over all geodesics,  $u^f$  is zero at  $\partial(SM)$ .

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If yes, then  $If = 0$  implies  $u^f = 0$ . This means that  $f = -Xu^f = 0$ , so the X-ray transform is injective!

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- The lower order term  $\frac{1}{2}X_{\perp}$  plays a role: if we change its sign, we *always* lose unique solvability.
- There is an energy identity for this PDE that allows us to deduce that solutions are unique on some manifolds.



# The Pestov identity

## Lemma (Pestov identity)

*Let  $M$  be a compact, orientable Riemannian surface with boundary. If  $u \in C^2(SM)$  with  $u|_{\partial(SM)} = 0$ , then*

$$\|VXu\|^2 = \|XVu\|^2 - \langle KVu, Vu \rangle + \|Xu\|^2.$$

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## Proof

Calculate  $\|VXu\|^2 - \|XVu\|^2$  using the commutator relations and integration by parts. □

# Injectivity of the X-ray transform

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A compact manifold  $M$  with boundary is simple if

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- any two boundary points are joined by a unique geodesic which depends smoothly on the endpoints.

A surface with non-positive curvature and strictly convex boundary is simple.

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A calculation using the Santaló formula gives

$$\|Xw\|^2 - \langle Kw, w \rangle = \int_{\partial_{\text{inward}}(SM)} \mathcal{I}_{\gamma_{x,v}}(w) |v \cdot \nu| dx dv,$$

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where  $\mathcal{I}_{\gamma}$  is the index form on the geodesic  $\gamma$ . On a simple manifold there are no conjugate points, so the index forms are positive definite.  $\square$

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- The Pestov identity can also be used to prove other similar results.
- For the Pestov identity in 2D, see

*Paternain–Salo–Uhlmann (Invent. Math. 2013): Tensor tomography on surfaces*

and references therein. Several people have contributed to the topic: Mukhometov, Guillemin, Kazhdan, Sharafutdinov, Pestov, Uhlmann, Salo, Paternain. . .

- 1 X-ray imaging
- 2 X-ray tomography and manifolds
- 3 The Pestov identity
- 4 Various generalizations
  - Higher dimensions
  - Vector fields
  - Tensor fields
  - Pseudo-Riemannian manifolds
  - Closed manifolds
  - Broken rays
  - Rough metrics
- 5 Applications

# Higher dimensions

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- In 2D we had  $V^* = -V$  and  $X_{\perp}^* = -X_{\perp}$ , but in higher dimensions the vertical and horizontal gradients are different objects than the vertical and horizontal divergences.
- I will be very brief. For more details in higher dimensions, see  
*Paternain–Salo–Uhlmann (Math. Ann. 2015): Invariant distributions, Beurling transforms and tensor tomography in higher dimensions*

and references therein.

# Higher dimensions

## Lemma (Pestov identity)

Let  $M$  be a compact manifold with boundary, with  $\dim(M) = n$ . If  $u \in C^2(SM)$  with  $u|_{\partial(SM)} = 0$ , then

$$\|VXu\|^2 = \|XVu\|^2 - \langle RVu, Vu \rangle + (n-1) \|Xu\|^2,$$

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If  $M$  has non-positive sectional curvature, all terms are non-negative.

Theorem (Mukhometov 1977)

*The X-ray transform is injective on all simple manifolds.*



# Vector fields

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- New question: If  $f$  is a vector field and integrates to zero over all geodesics on  $M$ , is there a function  $\phi$  so that  $\phi|_{\partial M} = 0$  and  $f = d\phi$ ? (Do the integrals of  $f$  determine  $f$  up to gauge?)

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- Sometimes yes! At least on simple manifolds. A simple proof with the Pestov identity works again.



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- On a simple surface, if a symmetric tensor field  $f$  of order  $m$  integrates to zero over all geodesics, then  $f = d\phi$  for a symmetric tensor field  $\phi$  of order  $m - 1$ . (P-S-U, 2013)

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- In dimensions  $n \geq 3$  this is open. There are only partial results.

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- A pseudo-Riemannian metric of signature  $(n, m)$  is like the matrix

$$\begin{pmatrix} -1 & & & & & \\ & \ddots & & & & \\ & & -1 & & & \\ & & & 1 & & \\ & & & & \ddots & \\ & & & & & 1 \end{pmatrix},$$

with  $n$  negative signs and  $m$  positive ones.

# Pseudo-Riemannian manifolds

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For two Riemannian manifolds  $(M_1, g_1)$  and  $(M_2, g_2)$  we can equip the product manifold  $M_1 \times M_2$  with the Riemannian product metric  $g_1 \oplus g_2$  or the pseudo-Riemannian product metric  $g_1 \ominus g_2$ .

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## Theorem (I., 2016)

*Let  $M_1$  and  $M_2$  be two Riemannian manifolds of non-negative sectional curvature, strictly convex boundary and dimension  $\geq 2$ . Then the null geodesic X-ray transform is injective on the pseudo-Riemannian product  $M_1 \times M_2$ .*



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The proof is based on a Pestov identity. We assume that the signature  $(m, n)$  satisfies  $m \geq 2$  and  $n \geq 2$ . No Pestov identity method is known in Lorentzian geometry ( $m = 1$ ).

# Closed manifolds

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- It is no longer easy to find a solution  $u$  to the equation  $Xu = -f$ . One needs what is called a Livšic theorem to prove existence of solutions.

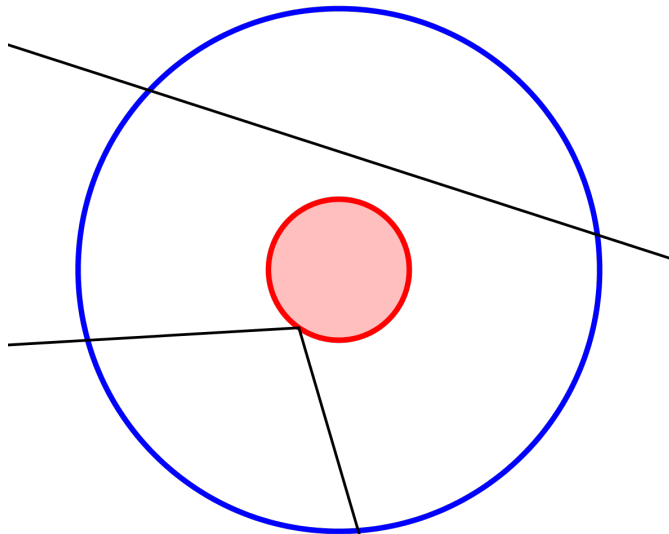
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- It is no longer easy to find a solution  $u$  to the equation  $Xu = -f$ . One needs what is called a Livšic theorem to prove existence of solutions.
- The “closed analogue” of a simple surface is an Anosov surface.
- On an Anosov surface, if a symmetric tensor field  $f$  of order  $m$  integrates to zero over all geodesics, then  $f = d\phi$  for a symmetric tensor field  $\phi$  of order  $m - 1$ . (P–S–U 2014)

# Broken rays



# Broken rays



One reflecting obstacle in a domain. Two broken rays.

# Broken rays

## Theorem (I.-Salo, 2016)

*Let  $M$  be a non-positively curved Riemannian surface with strictly convex boundary. Add a strictly convex reflecting obstacle. Then the broken ray transform is injective.*

## Theorem (I.–Salo, 2016)

*Let  $M$  be a non-positively curved Riemannian surface with strictly convex boundary. Add a strictly convex reflecting obstacle. Then the broken ray transform is injective.*

The same result is true higher dimensions as well, and in two dimensions in the absence of conjugate points along broken rays. (I.–Paternain)

# Rough metrics

For geophysical purposes, we would like to understand X-ray tomography on manifolds with a rough metric.

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## Theorem (de Hoop–I.)

*On a spherically symmetric non-trapping manifold with a piecewise  $C^{1,1}$  metric the geodesic X-ray transform is injective on  $L^2$  functions.*

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- 5 Applications
  - Linearized length
  - Rigidity of length spectrum
  - Rigidity of spectrum



# Linearized length

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- Do travel times of earthquakes which occur near the surface uniquely determine the interior structure of the Earth?
- We linearize this problem.

# Linearized length

- Let  $g_s$  be a family of Riemannian metrics on a manifold  $M$ . The “infinitesimal variation”  $f = \left. \frac{d}{ds} g_s \right|_{s=0}$  is a symmetric second order tensor field on  $M$ .

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- Fix two points at the boundary:  $x, y \in \partial M$ . Let  $\gamma_s$  be the shortest geodesic joining  $x$  and  $y$  in the metric  $g_s$ . Then

$$\left. \frac{d}{ds} \ell(\gamma_s) \right|_{s=0} = 2If(\gamma_0).$$

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- If we already know the conformal class of the manifold, the unknown function  $f$  can be considered scalar instead of a rank two tensor.
- Linearizing lengths of broken rays leads to broken ray tomography.

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- The length spectrum of a closed manifold is the set of lengths of periodic geodesics.
- The length spectrum of a manifold with boundary is the set of lengths of periodic broken rays.
- The length spectrum is said to be rigid if small variations preserving the length spectrum are necessarily trivial.
- Proofs of rigidity results typically provide an iterative reconstruction algorithm (without proof of convergence).

Theorem (Paternain–Salo–Uhlmann, 2014)

*The length spectrum of every Anosov surface is rigid.*



## Theorem (Paternain–Salo–Uhlmann, 2014)

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## Theorem (de Hoop–I.)

*The length spectrum of every spherically symmetric non-trapping smooth manifold with boundary is rigid.*

# Rigidity of length spectrum

## Problem

*Can we measure the length spectrum of the Earth?*

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It can be done indirectly in spherical symmetry.

# Rigidity of spectrum

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- In the Riemannian model the corresponding data is the spectrum of the Laplace–Beltrami operator on the manifold.
- These two kinds of spectra are related to each other.



# Rigidity of spectrum

## Theorem (de Hoop–I.)

*The spectrum of the Laplace–Beltrami operator on a spherically symmetric non-trapping 3-dimensional Riemannian manifold uniquely determines the length spectrum.*

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*The spectrum of the Laplace–Beltrami operator on a spherically symmetric non-trapping 3-dimensional Riemannian manifold uniquely determines the length spectrum.*

## Corollary (de Hoop–I.)

*The spectrum is rigid on such manifolds.*

Thank you.

Slides are available at <http://users.jyu.fi/~jojapeil>.