



Exercise help set 5

Topological Vector Spaces

Part I Theory.

5.2. Assume  $(E, \mathcal{T}_E)$  and  $(F, \mathcal{T}_F)$  are Fréchet spaces and in the space  $F$  there also is another Hausdorff-topology  $\tau_F$ , coarser than  $\mathcal{T}_F$ . Assume  $T : E \rightarrow F$  linear.

Prove that if  $T$  is continuous  $\mathcal{T}_E \rightarrow \tau_F$ , then it is continuous  $\mathcal{T}_E \rightarrow \mathcal{T}_F$  (Look at the graph!).

Check that  $T$  satisfies the conditions of the closed graph theorem. At least  $T : (E, \mathcal{T}_E) \rightarrow (F, \mathcal{T}_F)$ , where both are Fréchet spaces. Since  $T$  is continuous as a mapping  $T : (E, \mathcal{T}_E) \rightarrow (F, \tau_F)$ , its graph is closed (Hausdorff!) in the product topology  $\mathcal{T}_E \times \tau_F$ , which is by assumption coarser than  $\mathcal{T}_E \times \mathcal{T}_F$ . So the graph is closed in both.  $\square$

5.3. Assume  $(E, \mathcal{T}_E)$  and  $(F, \mathcal{T}_F)$  Fréchet spaces and assume  $(X, \mathcal{T}_X)$  is a Hausdorff-space. Assume  $T : E \rightarrow F$  linear. Prove that  $T$  is continuous, if there exists a continuous injection  $f : F \rightarrow G$ , such that  $f \circ T$  is continuous. (Idea: proj topology)

Write  $\tau_F = f^{-1}(\mathcal{T}_X)$  for the projective topology defined by the mapping  $f : F \rightarrow X$ . Since  $T$  is injection and  $X$  is Hausdorff, also  $\tau_F$  is Hausdorff, (If  $x \neq y \in F$ , then  $f(x) \neq f(y) \in X$ , so there exist disjoint  $U \in \mathcal{U}_{f(x)}$  and  $V \in \mathcal{U}_{f(y)}$  and we find disjoint neighbourhoods  $f^{-1}(U) \in \mathcal{U}_x$  and  $f^{-1}(V) \in \mathcal{U}_y$ .) By assumption  $f$  is continuous, so  $\tau_F$  is coarser than  $\mathcal{T}_F$ . By assumption also  $f \circ T$  is continuous. Therefore  $T$  is continuous as  $T : (E, \mathcal{T}_E) \rightarrow (F, \tau_F)$ : in projective topology a set is open, if and only if its image is open. So:  $A \in \tau_F \implies f(A) \in \mathcal{T}_X \implies T^{-1}(A) = T^{-1}(f^{-1}(f(A))) = (f \circ T)^{-1}(f(A)) \in \mathcal{T}_E$ . Notice, at  $A = f^{-1}(f(A))$  we used the information that  $A$  is injective.  $\square$

Part II Function spaces and solution to an older problem.

5.4. Assume  $k \in \mathbf{N} \cup \{\infty\}$ . In the space  $E = \mathcal{C}^k = \mathcal{C}^k(\mathbf{R}) = \{f : \mathbf{R} \rightarrow \mathbf{R} \mid f \text{ is } k \text{ times differentiable}\}$  the standard topology, also called the topology of compact  $\mathcal{C}^k$ -convergence is the lokaalikonveksi topology, given by the seminorms

$$\left| \left( \frac{\partial}{\partial x} \right)^\alpha f(x) \right| = \sup_{x \in K} |f^{(\alpha)}(x)|.$$

Prove that every  $\mathcal{C}^k$  is metrisable and Hausdorff.

Since every compact set is included in some compact interval  $[-m, m]$ , the seminorm family  $\mathcal{P}$  can be replaced by a basis of continuous seminorms:  $\mathcal{P}_J = \{p_{\alpha, m} \mid \alpha \in \{0, 1, \dots, k\} \text{ and } K = [-m, m] \subset \mathbf{R}, \text{ giving the same topology and being countable. So } \mathcal{C}^k \text{ is metrizable since it also is Hausdorff: for all } f \in \mathcal{C}^k \setminus \{0\} \text{ there is some point } x \in \mathbf{R}, \text{ where } f(x) \neq 0, \text{ so } p_{0, m}(f) = \sup_{-m \leq y \leq m} |f^{(0)}(y)| \geq |f(x)| > 0, \text{ for } -m \leq x \leq m.$

**5.5.** Prove that every  $\mathcal{C}^k$  ( $k \in \mathbf{N}$ ) is (seq)complete, so it is Fréchet. (Banach? Is there a continuous norm?)

Let  $f_n$  be a Cauchy sequence in  $\mathcal{C}^k$ . At each point  $x \in \mathbf{R}$   $f_n(x)$  is a Cauchy-sequence in  $\mathbf{K}$  so it converges. This defines  $f : \mathbf{R} \rightarrow \mathbf{R}$ . Let  $K = [-m, m]$ . On  $K$  the functions  $f_n$  converge uniformly, and the seminorm  $p_{0,m}$  is in this set the sup-norm giving uniform convergence. For the same reason, the derivatives converge uniformly. By a theorem from analysis, (not immensely difficult to prove), the derivatives converge to  $f'$ . Similarly, the second derivatives converge to  $f''$  uniformly in  $] - m, m[$  etc by induction all the way to the  $k$ th derivative. Since this works for all  $m$ , then  $p_{m,\alpha}(f_n - f) \rightarrow 0$  for all  $m$  in question and  $\alpha$ , same as  $f_n \rightarrow f \in \mathcal{C}^k$  in the correct topology.

**5.6.** Prove that  $\mathcal{C}^\infty$  is (seq)complete, so it is Fréchet. (Banach? Is there a continuous norm?)

The same idea works again!!

**5.7.** Assume  $K \subset \mathbf{R}$  compact and  $k \in \mathbf{N} \cup \infty$ . (One  $K$  fixed.) Prove that all spaces  $\mathcal{C}^k(K) = \{f \in \mathcal{C}^k \mid \text{supp } f \subset K\}$  are (jono)complete, so Fréchet. (Banach? Is there a continuous norm?)

The same idea works again since  $f_n \rightarrow f$  and  $f_n(x) = 0$  for all  $x \notin K$ .

**5.8.** Prove that the completion (same as closure!) of  $\mathcal{C}^k(K)$  in  $\mathcal{C}^k(K)$  is  $\mathcal{C}^\infty(K)$ . This means that  $\mathcal{C}^\infty(K)$  is dense in  $\mathcal{C}^k(K)$  (which is complete).

The well known proof from analysis courses is based on convolutions.

**Remark.** In analysis, often  $n$ -dimensional versions of the spaces  $\mathcal{C}^k$  are used:  $E = \mathcal{C}^k = \mathcal{C}^k(\mathbf{R}^n) = \{f : \mathbf{R}^n \rightarrow \mathbf{R} \mid f \text{ is } k \text{ times diff}\}$  and topology by seminorms

$$p_\alpha(f) = \sup_{x \in K} \left| \left( \frac{\partial}{\partial x} \right)^\alpha f(x) \right|,$$

where  $K \subset \mathbf{R}^n$  is compact and  $\left( \frac{\partial}{\partial x} \right)^\alpha f(x) = \left( \frac{\partial}{\partial x_1} \right)^{\alpha_1} \left( \frac{\partial}{\partial x_2} \right)^{\alpha_2} \dots \left( \frac{\partial}{\partial x_n} \right)^{\alpha_n} f(x)$  is the partial derivative corresponds to multi-index  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbf{N}^n$  (so  $\alpha_1 + \dots + \alpha_n = \alpha$ ). More generally, the set  $\mathbf{R}^n$  can be replaced by any open set  $\Omega \subset \mathbf{R}^n$ . All this brings no essential change to what was done above.

**5.9.** Prove that the standard topology of  $\mathcal{C}^k$  is also defined by the seminorms  $\mathcal{Q}$ , of seminorms

$$q_K(f) = \int_K |f^{(n)}(x)| dx,$$

where  $K \subset \mathbf{R}$  is compact and  $n \in \mathbf{N}$ . Hint:  $f(x) = \int_x^{x+1} ((t-x-1)f'(t) + f(t)) dt$ . The hint is okay, since by partial integration

$$\int_x^{x+1} (t-x-1)f'(t) dt + \int_x^{x+1} f dt = \int_x^{x+1} (t-x-1)f(t) dt - \int_x^{x+1} f dt + \int_x^{x+1} f dt = f(x).$$

The standard topology in  $\mathcal{C}^\infty$  comes from the seminorms  $\mathcal{P} = \{p_{n,K} \mid K \subset \mathbf{R} \text{ compact}, n \in \mathbf{N}\}$ , where  $p_{n,K}(f) = \sup\{|f^{(n)}(x)| \mid x \in K\}$ . Call the topologies  $\tau_{\mathcal{P}}$  and  $\tau_{\mathcal{Q}}$ .

a)  $\tau_Q \subset \tau_P$ :

$$q_{n,K}(f) = \int_K |f^{(n)}(x)| \leq \sup_K |f^{(n)}(x)| \int_K 1 = |K| p_{n,K}(f)$$

b)  $\tau_P \subset \tau_Q$ : Partial integration (or just use the hint)  $m < k$

$$f^{(m)}(x) = \int_x^{x+1} (t-x-1)f^{(m+1)}(t) + f^{(m)}(t) dt,$$

joten for all  $m \in \mathbf{N}$

$$\begin{aligned} p_{m,K}(f) &= \sup_K |f^{(m)}(x)| \\ &= \sup_K \left| \int_x^{x+1} (t-x-1)f^{(m+1)}(t) + f^{(m)}(t) dt \right| \\ &\leq \sup_K \left( \left| \int_x^{x+1} (t-x-1)f^{(m+1)}(t) dt \right| + \left| \int_x^{x+1} f^{(m)}(t) dt \right| \right) \\ &\leq \sup_K \left\{ \left| \int_x^{x+1} (t-x-1)f^{(m+1)}(t) dt \right| + \sup_K \left| \int_x^{x+1} f^{(m)}(t) dt \right| \right\} \\ &\leq \sup_{x,y \in K} |y-x| \int_{K'} |f^{(m+1)}(t)| dt + \left\| \int_{K'} f^{(m)}(t) dt \right\| \\ &\leq \text{diam } K' \int_{K'} q_{m+1,K'}(f) + q_{m,K'}(f), \end{aligned}$$

where  $K' = \overline{\bigcup_{x \in K} B(x, 1)}$ .

**Combination.** .

**5.10.** Assume  $K \subset \mathbf{R}^n$  compact set and  $F \subset \mathbf{R}^K$  Banach space, whose elements called vectors, or points) are functions  $K \rightarrow \mathbf{R}$  ie  $E$  is a vector subspace of  $\mathbf{R}^K$ . Assume, that the topology in  $F$  is finer than the topology of pointwise convergence, which is the product topology in / from  $\mathbf{R}^K$ . Assume that  $C^\infty(K) \subset F$ .

We prove, that there exists a number  $k \in \mathbf{N}$ , such that  $C^k(K) \subset F$ .

a) Apply ex 5.1. choosing: for  $E$ : the space  $C^\infty$  with its standard topology now called  $\mathcal{T}_E$ . For  $F$  we choose the norm topology  $\mathcal{T}_F = \mathcal{T}_{\|\cdot\|}$  is inclusion  $x \mapsto x$ .

Prove that the inclusion mapping  $T$  is continuous  $\mathcal{T}_E \rightarrow \mathcal{T}_F$  same as a mapping  $C^\infty(K) \rightarrow (F, \mathcal{T}_{\|\cdot\|})$ .

b) find out, that there exists a number  $\lambda > 0$  and a (semi)norm  $p_{n,K}$ , such that  $\|\cdot\|_F \leq \lambda p_{n,K} = \lambda \|\cdot\|_n$ . Check (or remember), that the continuous seminorm  $f \mapsto p_{n,K}(f) = \sup_{x \in K} |f^{(n)}(x)|$  is in fact a norm in  $E = C^\infty(K)$ . So in  $E = C^\infty(K)$  we have  $\mathcal{T}_F \subset \mathcal{T}_{p_{n,K}}$  and all in all

The subspace topology from  $F$  is  $\subset$  topology from  $C_K^k \subset$  original topology in  $C_K^\infty$

So, explain why

The completion of  $C^k(K) = (C^\infty(K): \text{in } C^k(K): \text{ssa}) \subset$  the completion of  $C^\infty(K)$  in the original norm of  $F$ . Are we done?  $\square$

Checking the conditions:  $C^\infty(K)$  and the Banach-space  $F$  are Fréchet spaces and in  $F$  there is also another Hausdorff-topology  $\tau_F$ , coarser than  $\mathcal{T}_F$ . (Totea!) The mapping  $T : E \rightarrow F : x \rightarrow x$  is linear.

Let us prove that the inclusion mapping  $T$  is continuous  $C^\infty(K) \rightarrow (F, \mathcal{T}_{\|\cdot\|}$ .

By exercise 5.1. we have only to check that  $T$  is continuous in  $\mathcal{T}_E \rightarrow \tau_F$ , which means that in the set  $C^\infty(K)$  the norm topology is finer than pointwise topology. That is true!

So inclusion mapping  $T$  is continuous  $C^\infty(K) \rightarrow (F, \mathcal{T}_{\|\cdot\|}$  so the norm in  $F$  is continuous in the topology of  $C^\infty(K)$ . So there exists  $\lambda > 0$  and a (semi)norm  $p_{n,K}$ , such that

$$\|\cdot\|_F \leq \lambda \|\cdot\|_n.$$

So in  $E = C^\infty(K)$

$$\mathcal{T}_F \subset \mathcal{T}_{p_{n,K}}$$

. All in all in  $E$

the subspace topology by  $F \subset$  the subspace topology by  $\mathcal{C}_K^k \subset \mathcal{C}_K^\infty$ :s original topology. This implies  $\mathcal{C}^k(K)$  completion (same as closure) of  $(\mathcal{C}^\infty(K))$ : in  $\mathcal{C}^k(K) \subset$  completion/closure of  $\mathcal{C}^\infty(K)$  in  $F$  norm topology.  $\square$